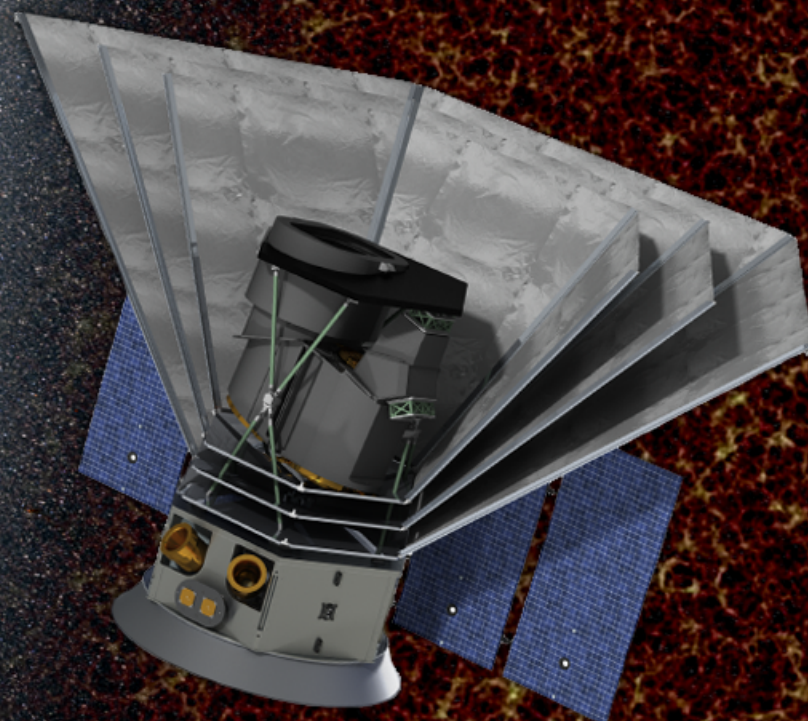


# SPHEREx: An All-Sky Spectral Survey



## Designed to Explore

- Origin of the Universe
- Origin and History of Galaxies
- Origin of Water in Planetary Systems

## The First All-Sky Near-IR Spectral Survey

A Rich Legacy Archive for the Astronomy Community with 100s of Millions of Stars and Galaxies

## Elegantly Simple

- Single Observing Mode
  - No Moving Parts
- Large Technical & Scientific Margins

Jamie Bock  
Caltech/JPL

2 June 2020

Selected for the next  
*MIDEX* mission Feb 2019

# ***What are the Most Important Questions in Astrophysics?***

As Stated in the NASA 2014 Science Plan

## **How Did the Universe Begin?**

“Probe the origin and destiny of our universe, including the nature of black holes, dark energy, dark matter and gravity”

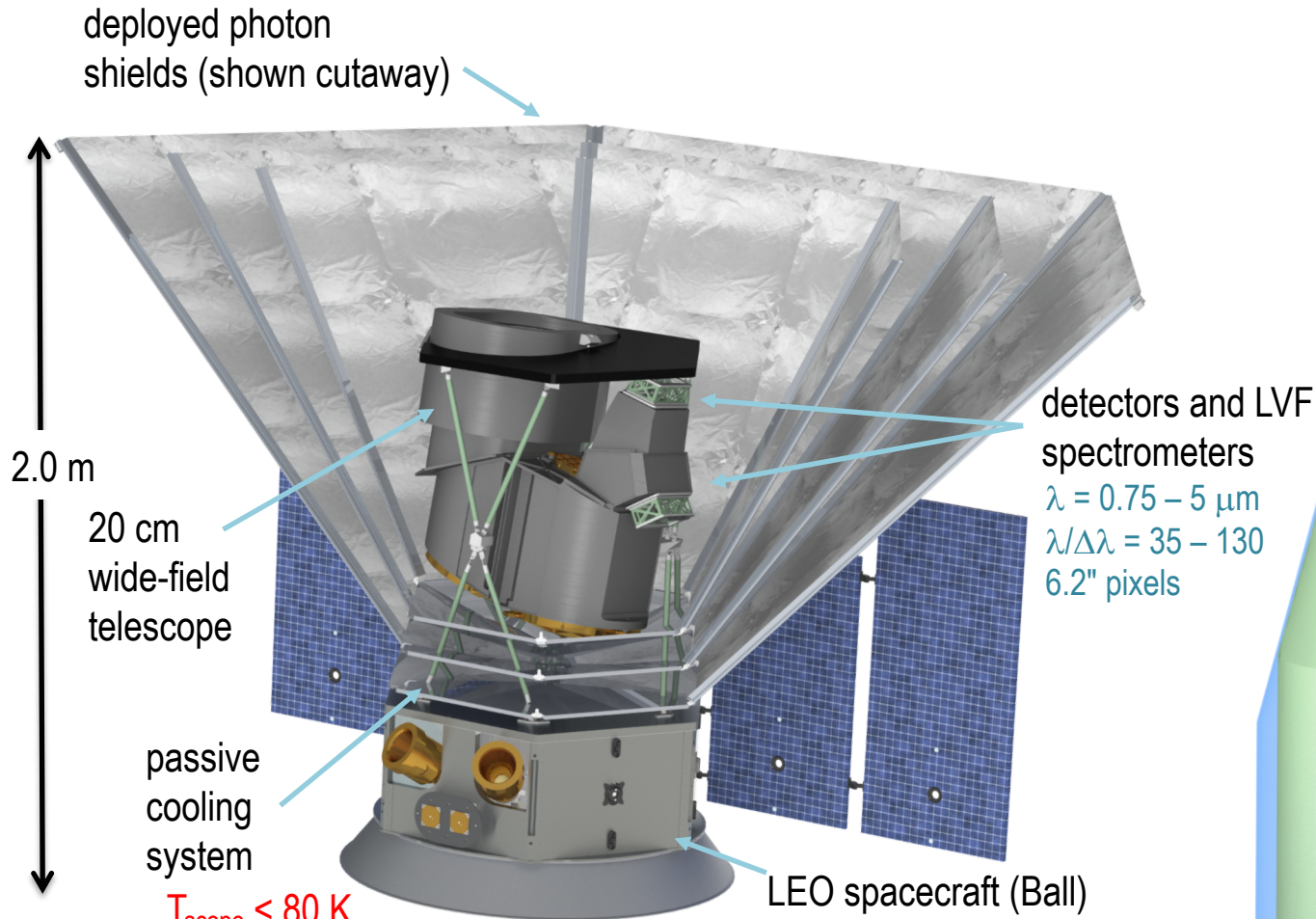
## **How Did Galaxies Begin?**

“Explore the origin and evolution of the galaxies, stars and planets that make up our universe”

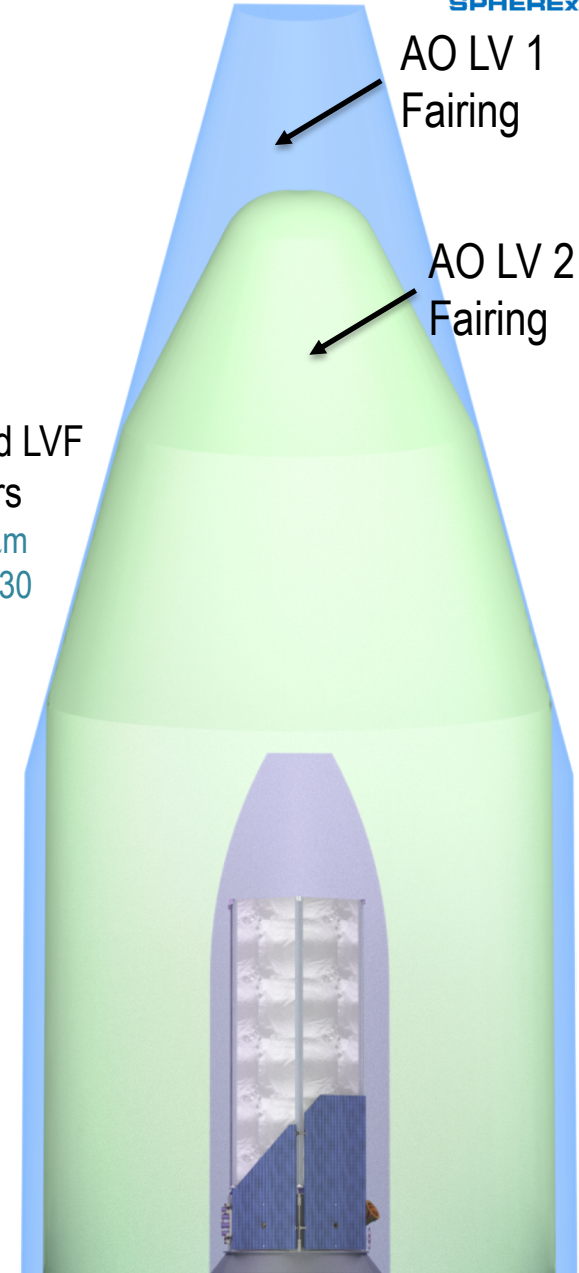
## **What are the Conditions for Life Outside the Solar System?**

“Discover and study planets around other stars, and explore whether they could harbor life”

# SPHEREx in a Nutshell



$T_{\text{scope}} < 80 \text{ K}$   
 $T_{\text{FPU}} < 55 \text{ K}$



Observatory Resources*	
Mass	205 kg
Telescope	20 cm eff. dia.
Data volume	135 Gbit/day

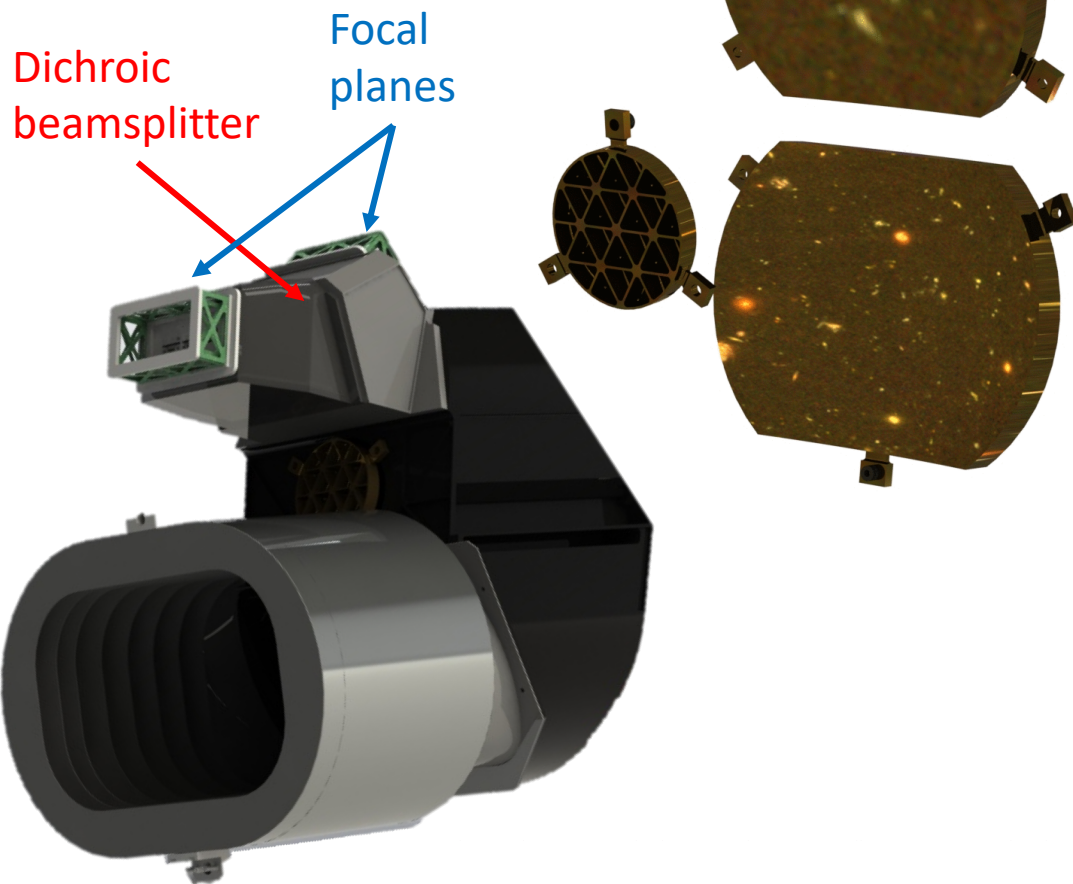
\*In phase A

*SPHEREx resources are well within the MIDEX family*

# Wide-Field Telescope: Large $A\Omega$ Product

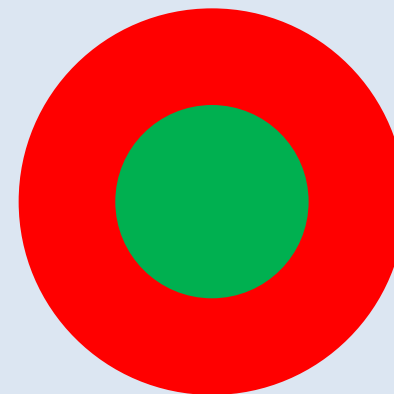


- 3-Mirror off-axis anastigmat
- 20 cm effective aperture
- $3.5^\circ \times 11.3^\circ$  FOV
- 25 million 6.2 arcsecond pixels



## WISE vs. SPHEREx

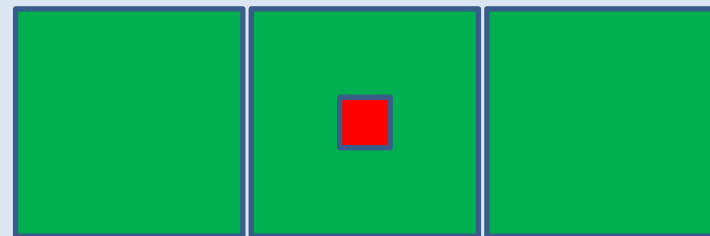
Mirror Area: WISE has 4x more



WISE: 40 cm

SPHEREx: 20 cm

Field of View: SPHEREx has 65x more



WISE: 0.61 sq. deg

SPHEREx: 39.6 sq. deg.

$A\Omega$  Product: SPHEREx has 16x more

# V-Groove Passive Cooling System

Telescope + additional FPA radiator are cooling as well

36 K Telescope

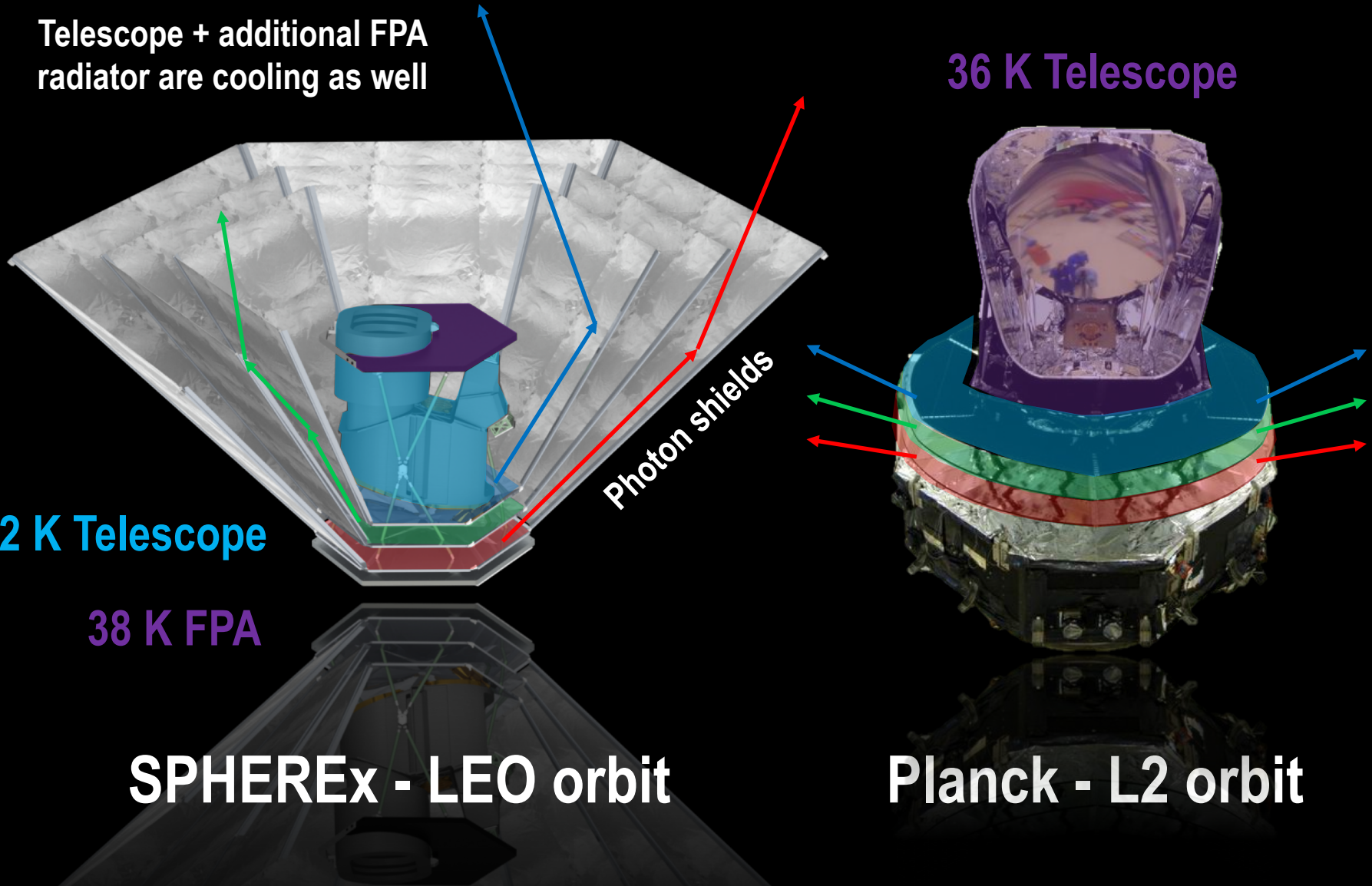
42 K Telescope

38 K FPA

Photon shields

SPHEREx - LEO orbit

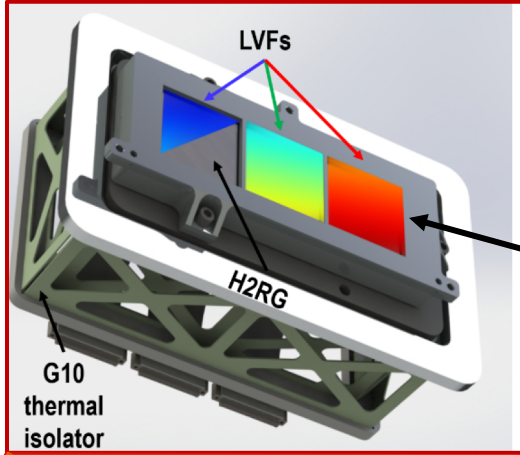
Planck - L2 orbit



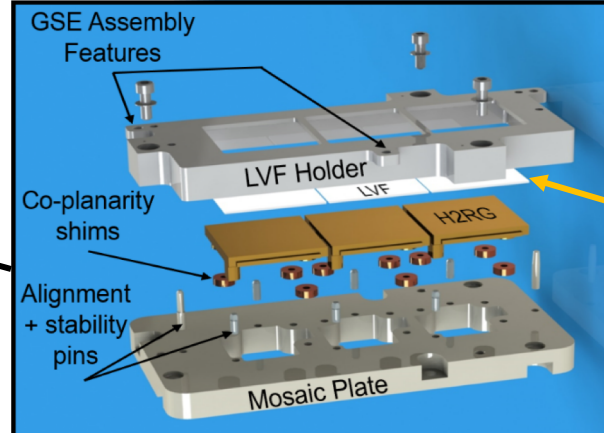
# High-Throughput LVF Spectrometer



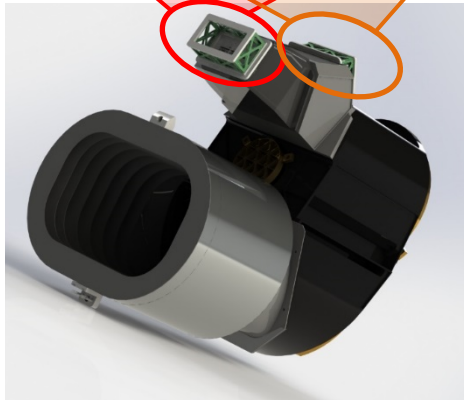
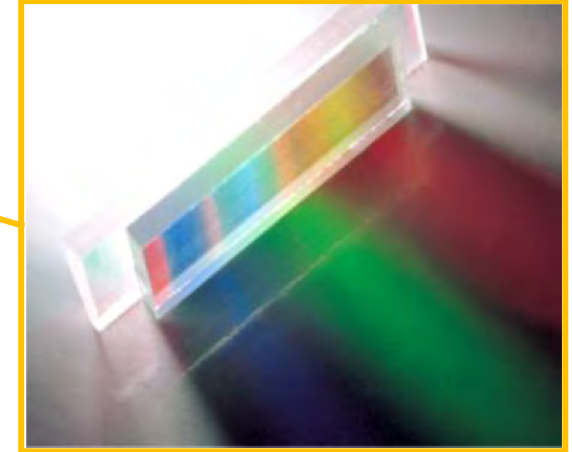
## Focal Plane Assembly



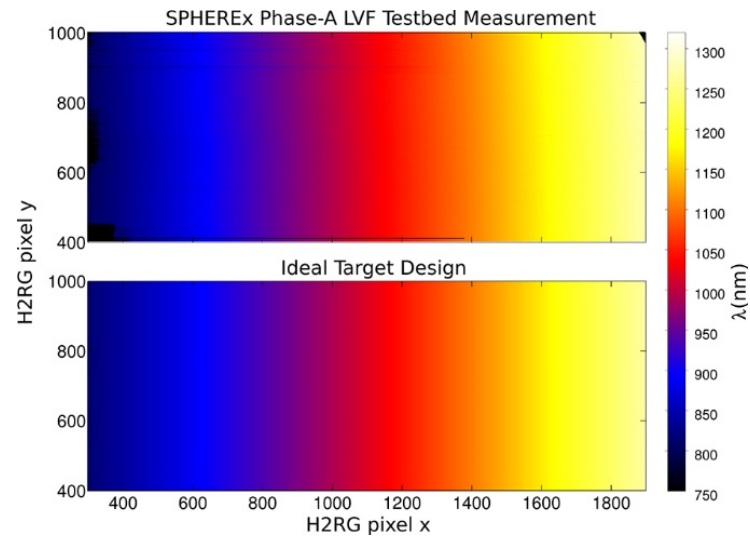
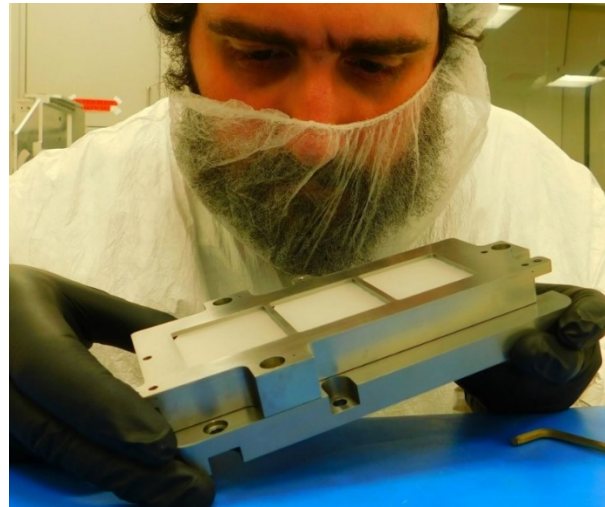
## LVF Assembly



## Linear Variable Filter



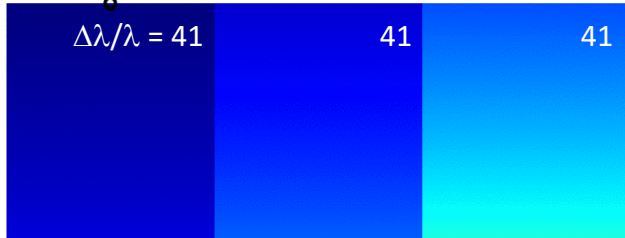
## SPHEREx telescope



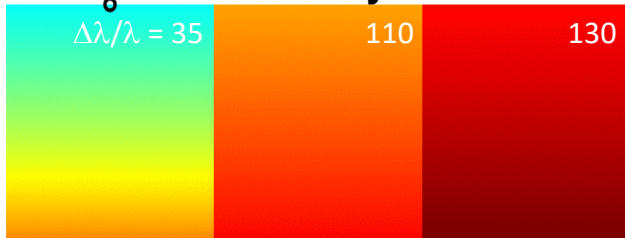
# Spectroscopy with Linear Variable Filters



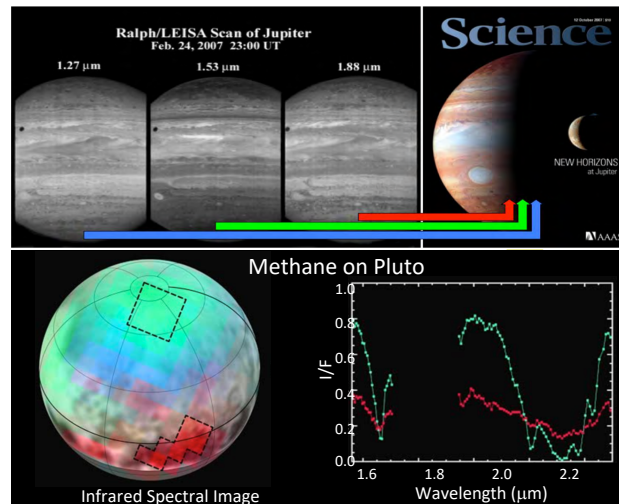
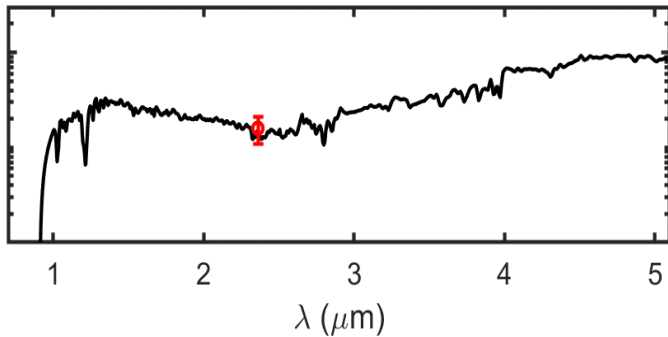
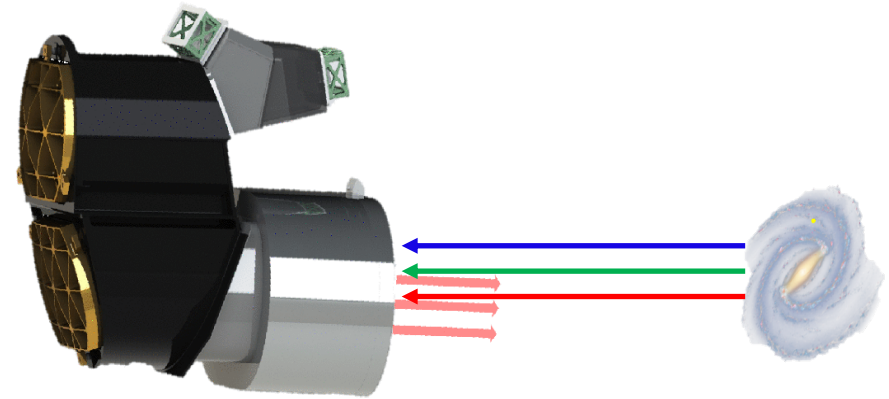
Reflected by Dichroic



Transmitted by Dichroic



Shifting the spacecraft pointing modulates the wavelength at which an object is observed.



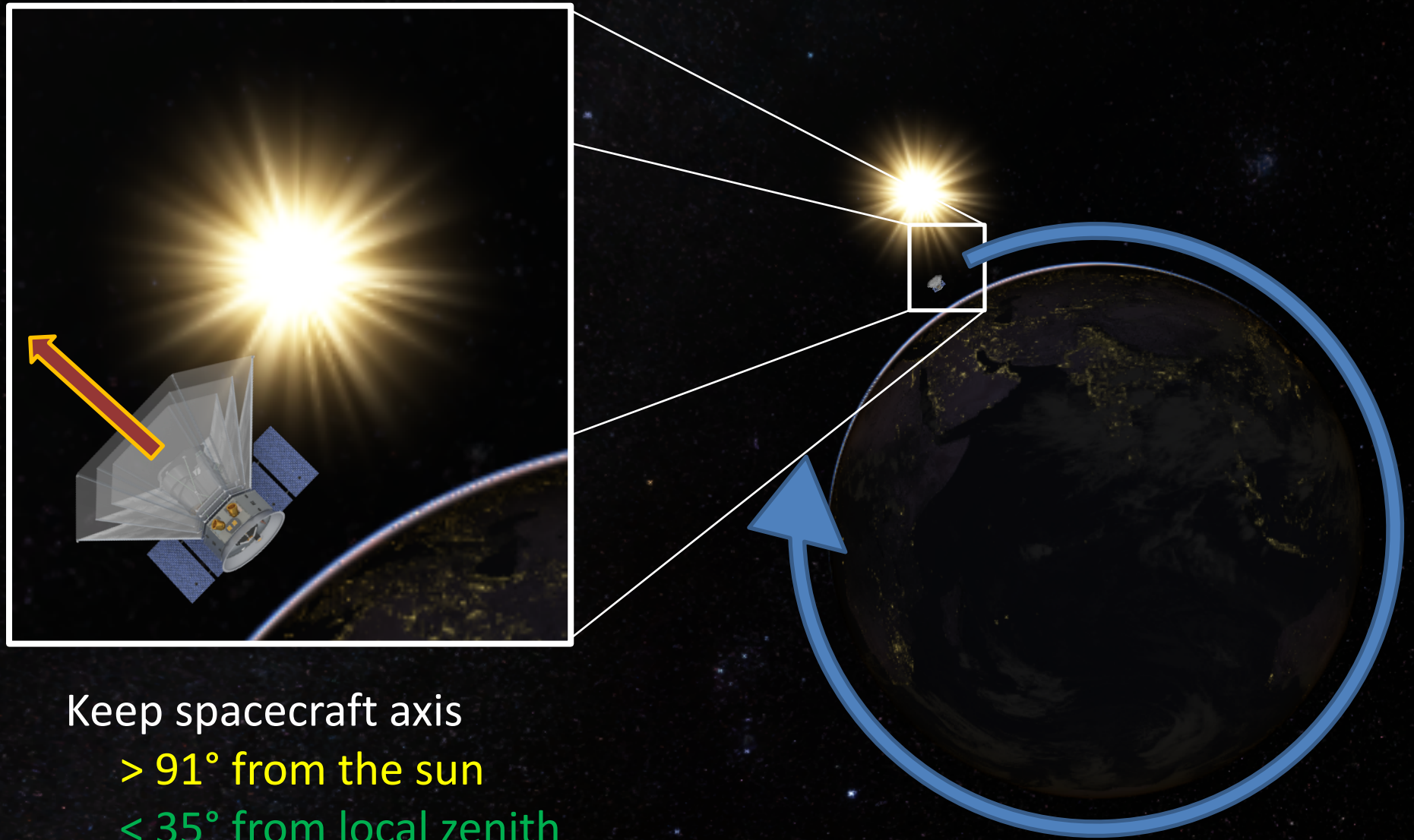
LVF surveys

somewhat novel to astrophysics but have been used for great results in planetary

LEISA - New Horizons

A complete spectrum in 48 exposures  
 Each exposure takes ~150s  
 1 complete spectrum every 6 months

# SPHEREx in Low Earth Orbit



Keep spacecraft axis  
> 91° from the sun  
< 35° from local zenith

“Terminator” orbit follows  
the sunrise-sunset line

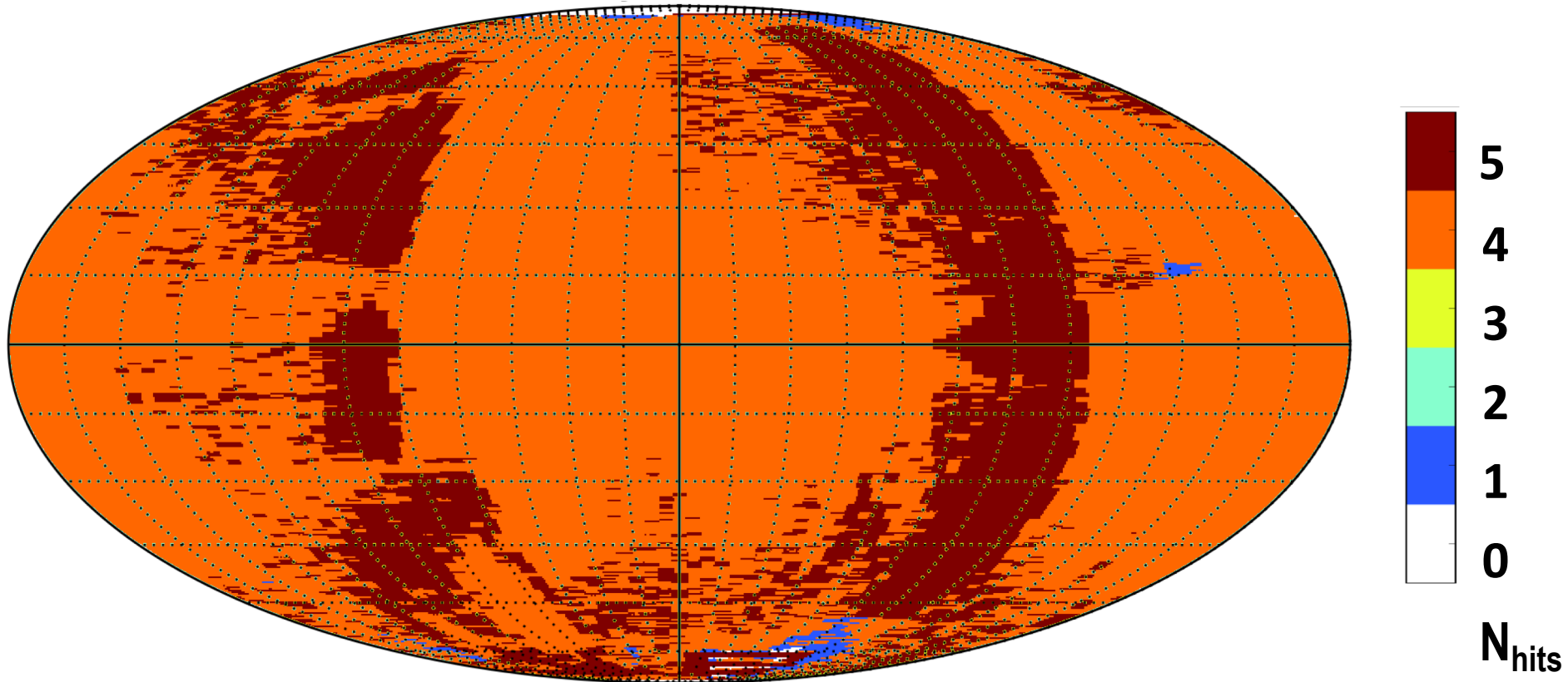




# SPHEREx Sky Coverage for 1 Wavelength



**25 Months**  
*= 4 complete surveys*



5

4

3

2

1

0

$N_{\text{hits}}$

# ***What are the Most Important Questions in Astrophysics?***

As Stated in the NASA 2014 Science Plan

## **How Did the Universe Begin?**

“Probe the origin and destiny of our universe, including the nature of black holes, dark energy, dark matter and gravity”

## **How Did Galaxies Begin?**

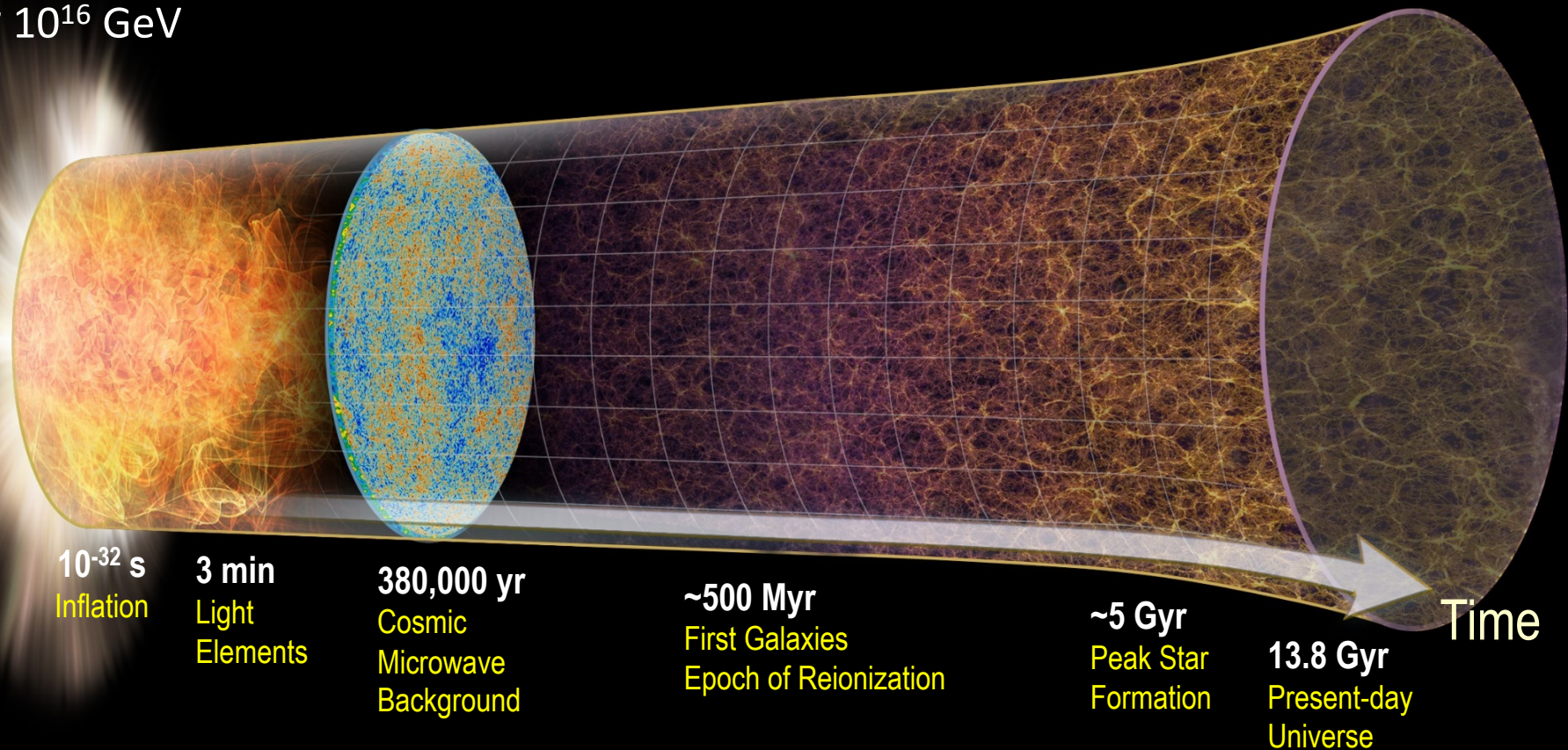
“Explore the origin and evolution of the galaxies, stars and planets that make up our universe”

## **What are the Conditions for Life Outside the Solar System?**

“Discover and study planets around other stars, and explore whether they could harbor life”

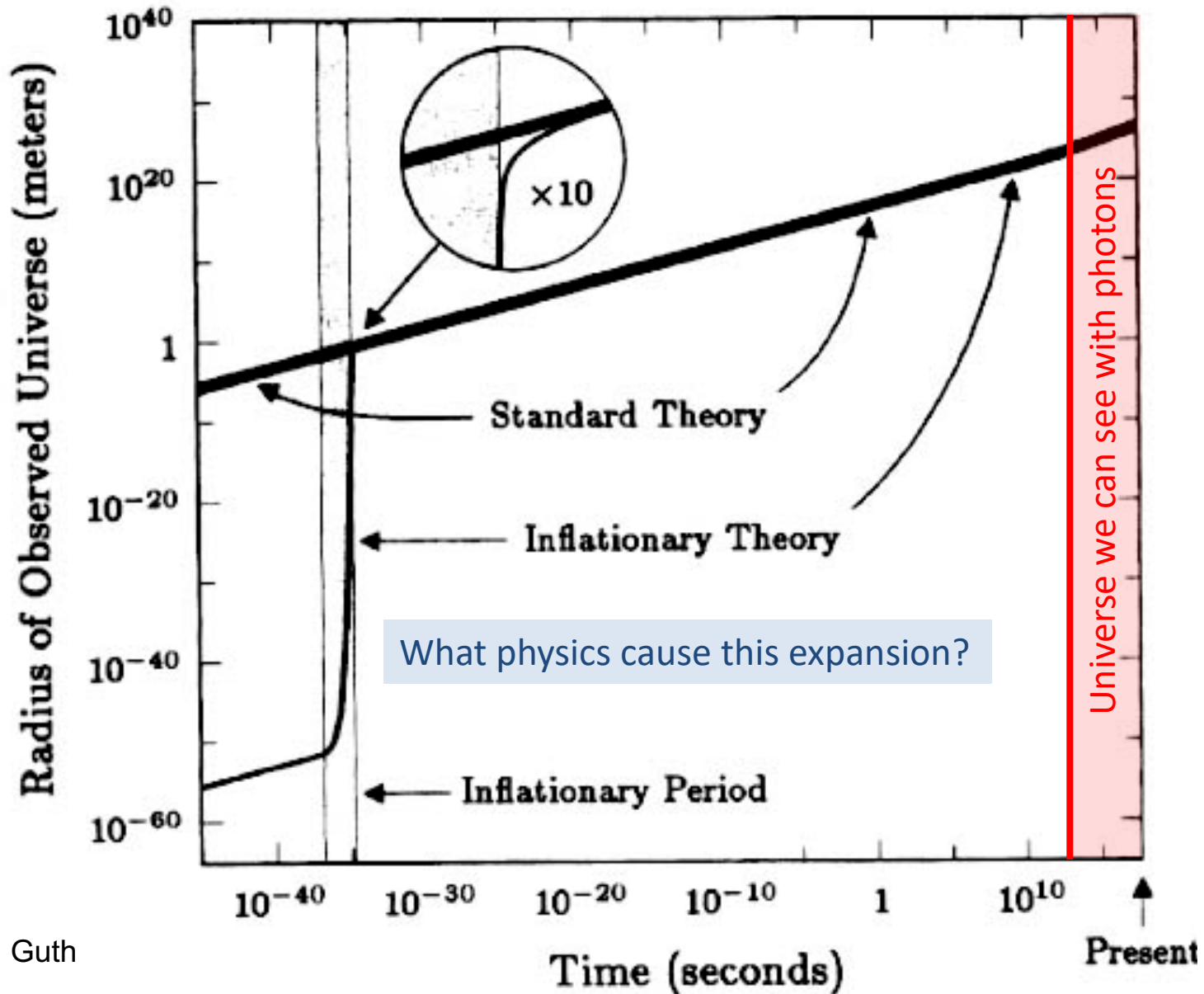
# Q1: How Did the Universe Begin?

$E \sim 10^{16}$  GeV



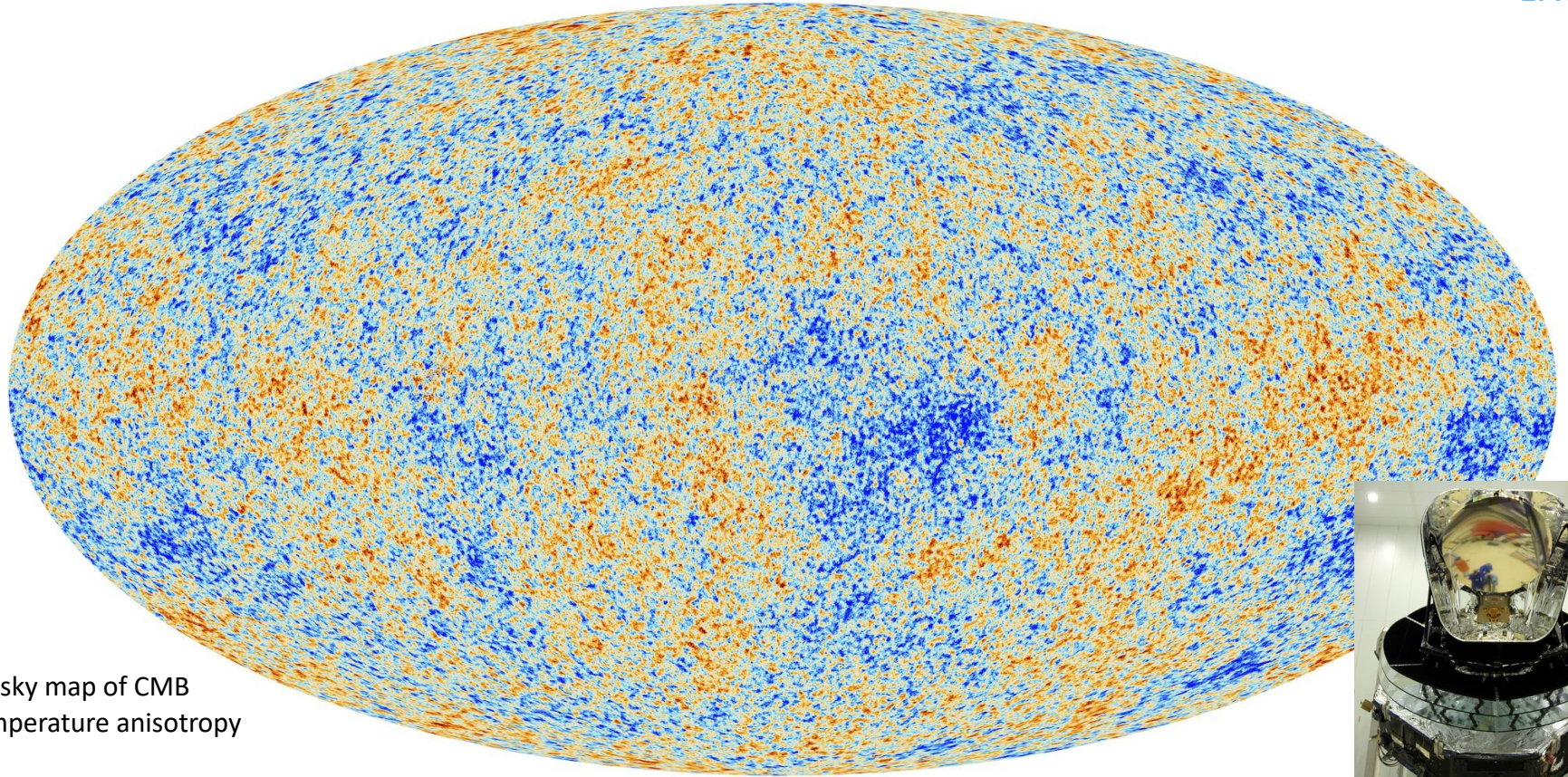
*SPHEREx observes the 3D distribution of galaxies, uses Non-Gaussianity to probe inflation physics*

# The Remarkable Theory of Inflation

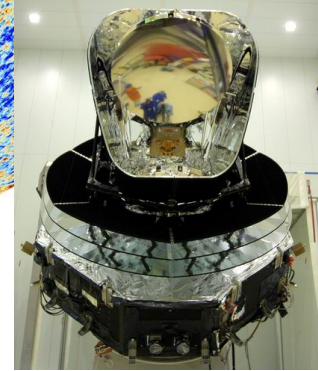


A. Guth

# Inflation Passes All Tests to Date!



All-sky map of CMB  
temperature anisotropy

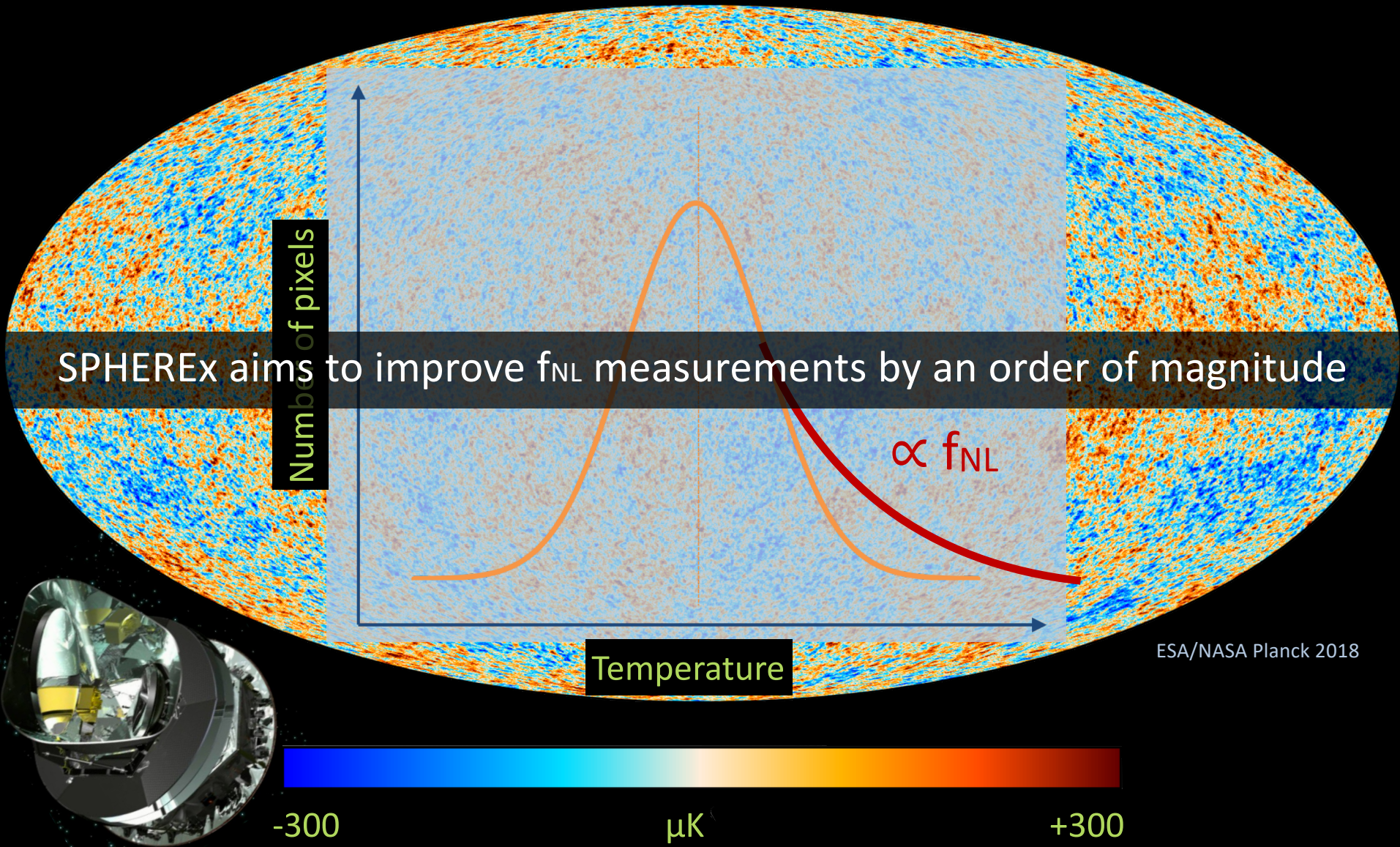


The Planck Satellite

From precise CMB measurements:

- ✓ Universe is geometrically flat
- ✓ There are coherent structures larger than the classical horizon
- ✓ Fluctuations have a nearly “scale invariant” spectrum
- ✓ Fluctuations are in phase
- ✓ Fluctuations have Gaussian statistics

# Planck CMB Map has Gaussian Statistics



# How Do We Probe Inflation Physics? Observables

1. Inflationary gravitational waves – CMB polarization
2. Non-Gaussianity – Sensitive to Inflaton field, single- or multi-field

CMB Non-Gaussianity:  $f_{\text{NL}} < 10.8$  ( $2\sigma$ ) limited by cosmic noise

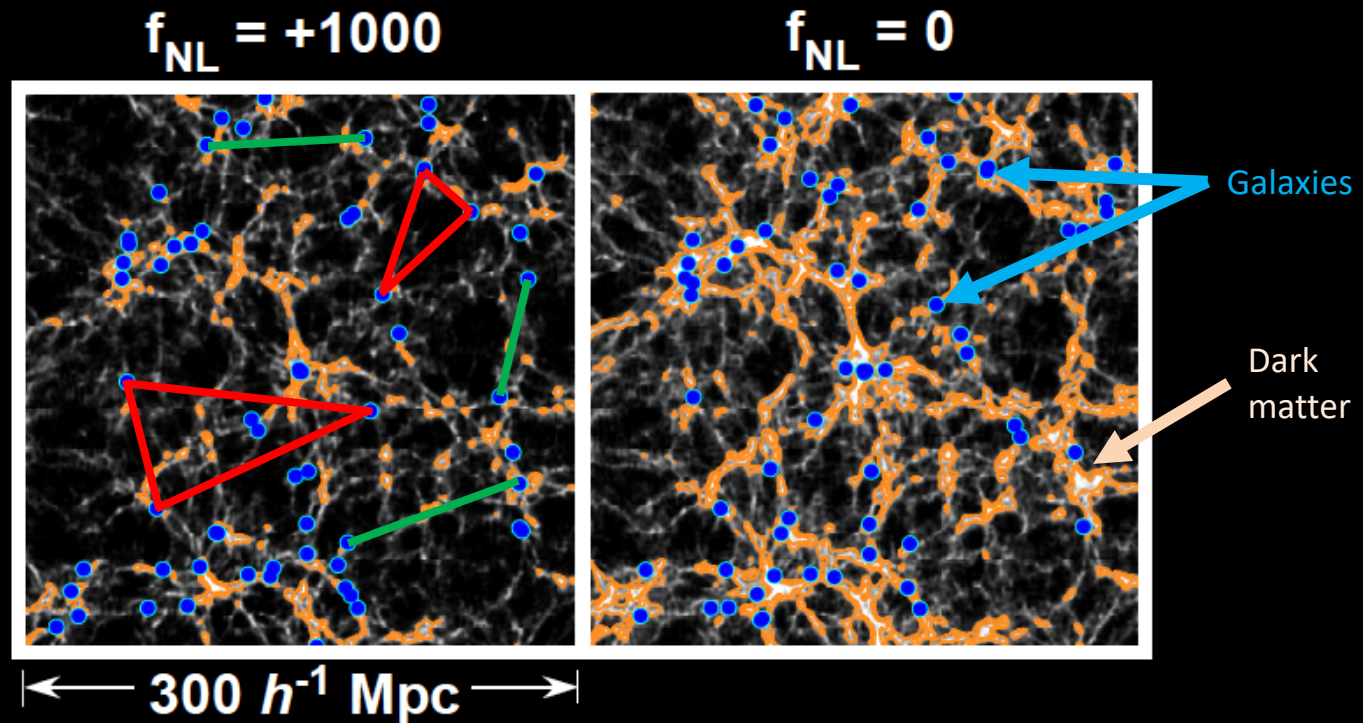
Planck  
2015 results

*Large-Scale Structure will give best non-Gaussianity measurements*

Quantified by 3D correlations between galaxies:

Pairs (2-point func)

Triples (3-point func)



Non-Gaussianity appears on largest spatial scales – need large volume survey



# Redshifts with SPHEREx

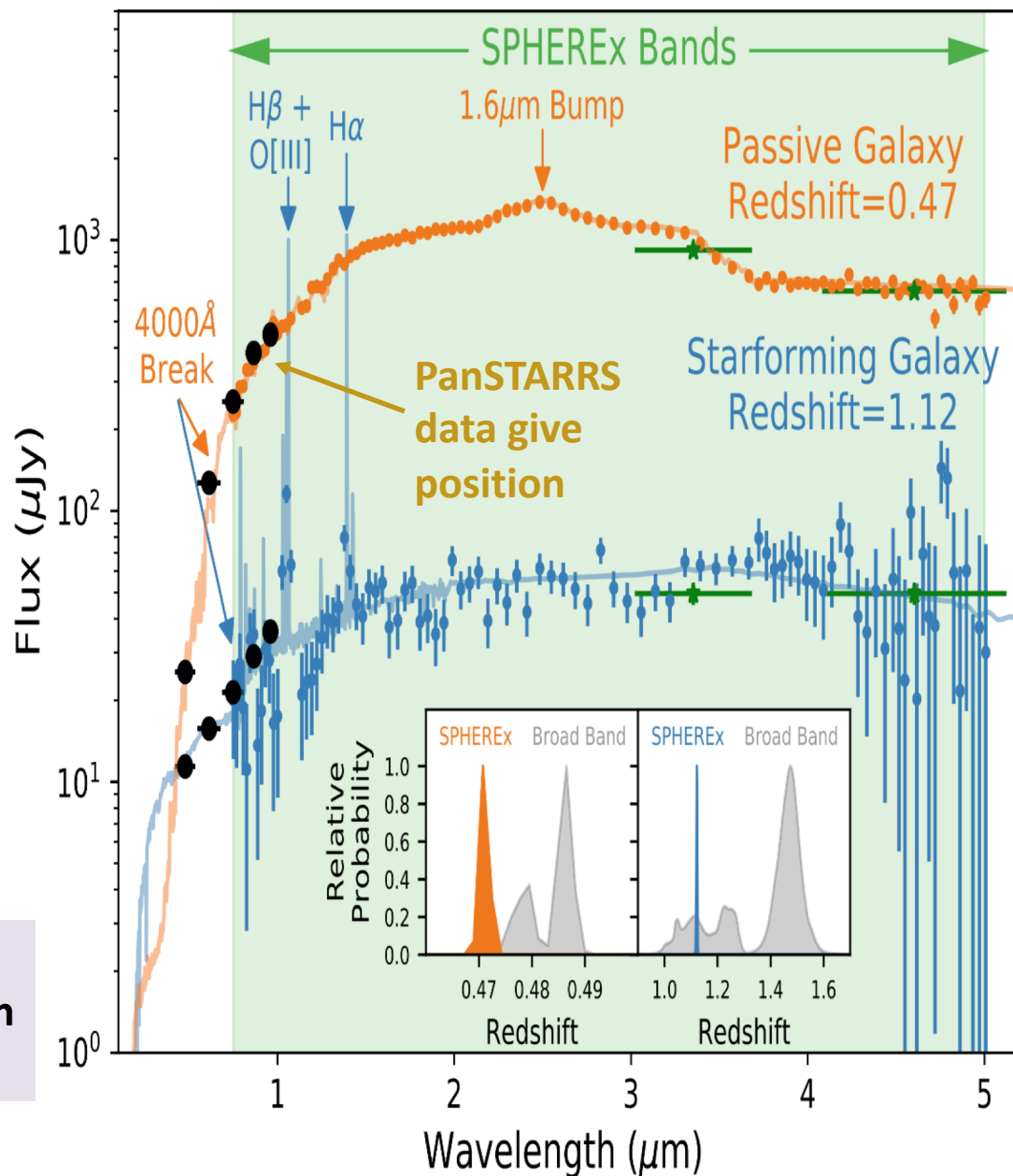


We extract the spectra from  
*known* galaxy positions  
Controls blending and confusion

We compare each spectrum to a  
template library:  
For each galaxy: redshift, type  
and redshift error

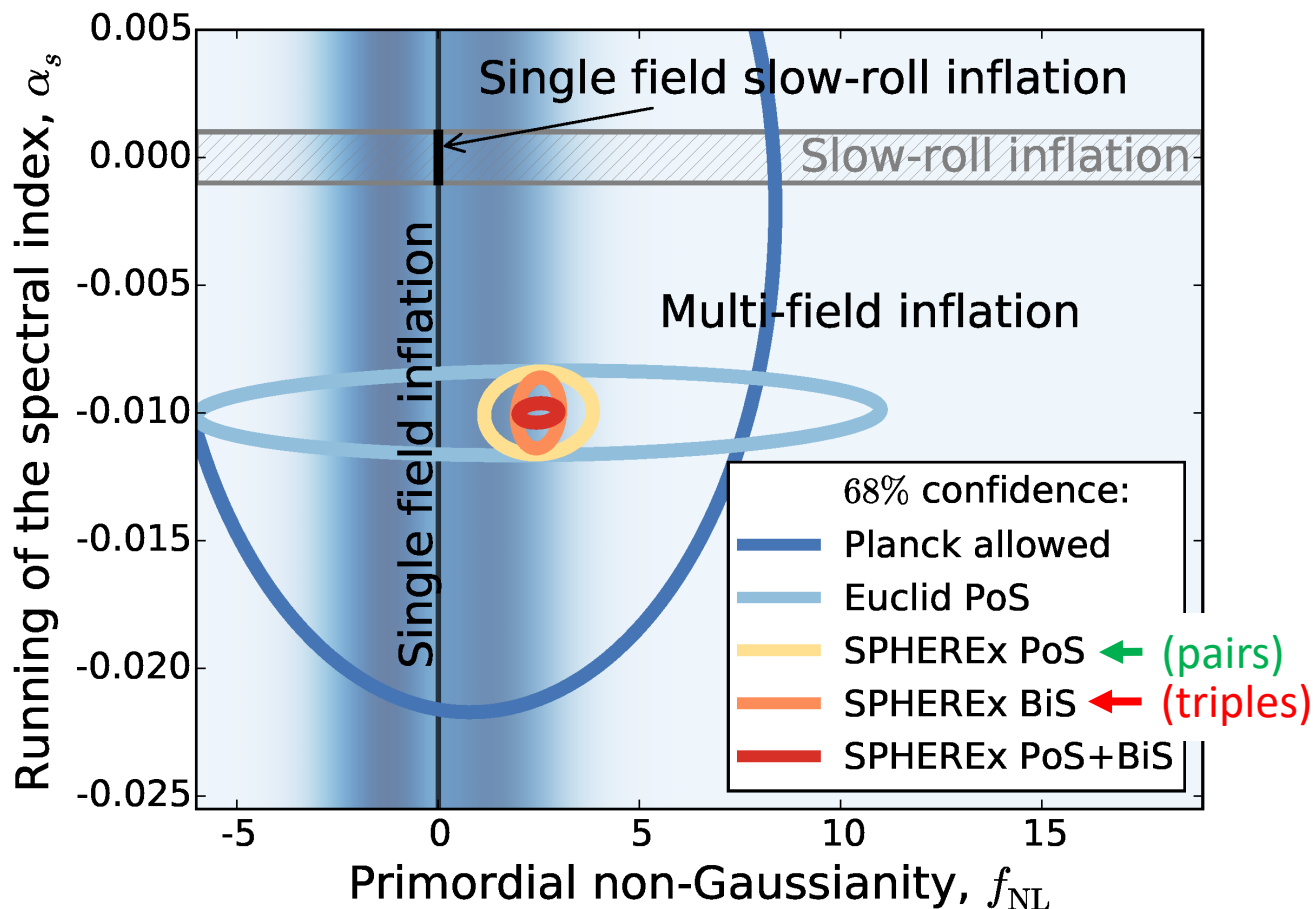
Many self-consistency tests using  
SPHEREx data, spectral models,  
and external redshift catalogs

**Detected galaxies** > 1 billion  
**Galaxies  $\Delta z/1+z < 10\%$**  > 450 million  
**Galaxies  $\Delta z/1+z < 0.3\%$**  > 10 million





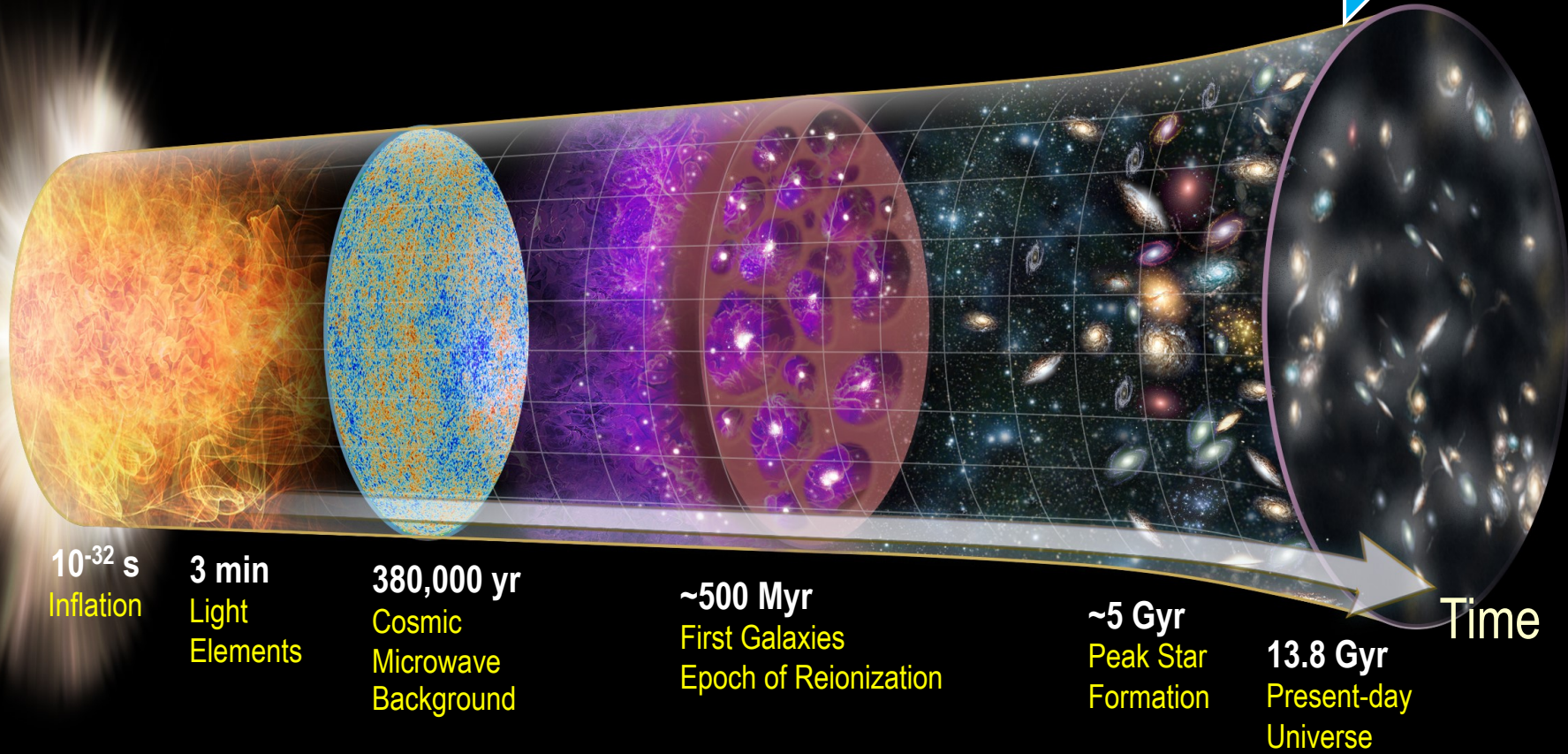
- Single-field models predict  $f_{\text{NL}} < 0.01$
- Multi-field models predict  $f_{\text{NL}} \gtrsim 1$
- Non-inflationary models (Steinhardt et al.) predict  $f_{\text{NL}} \sim 1$



- SPHEREx sensitivity is  $\Delta f_{\text{NL}} < 0.5 (1\sigma)$

# Q2: How Did Galaxies Begin?

Contributions to the Extragalactic Background Light



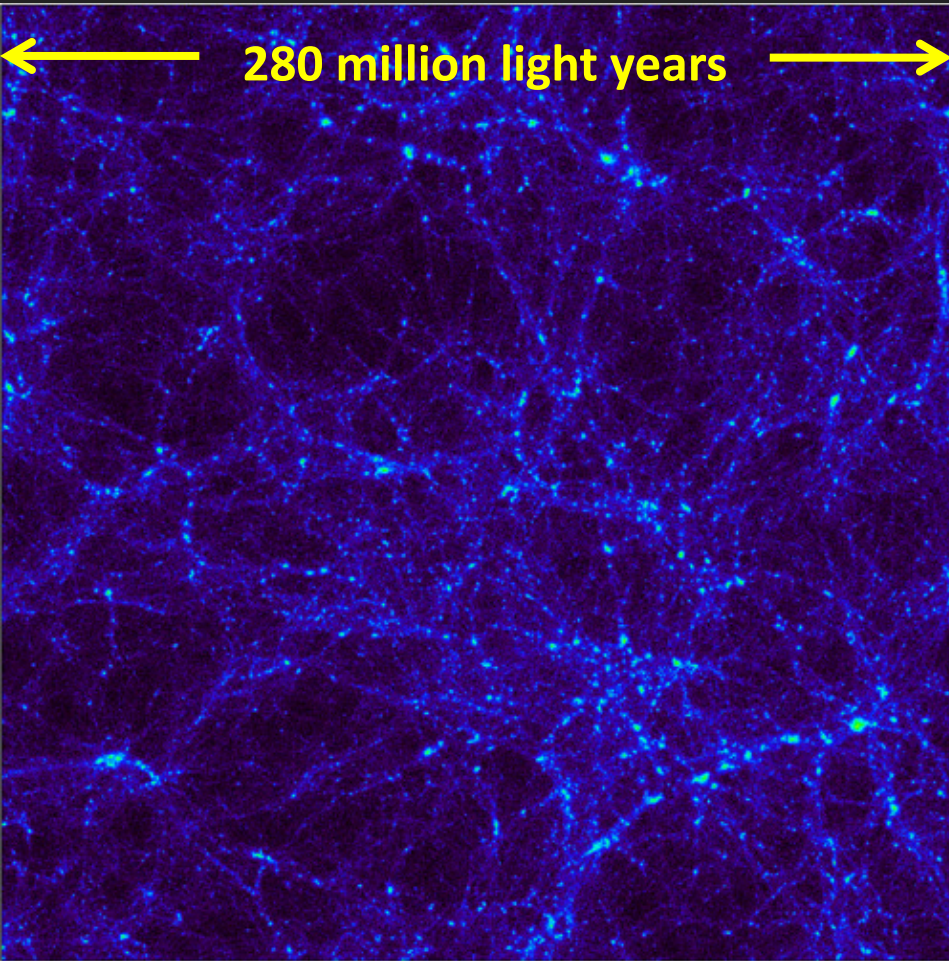
*SPHEREx extragalactic background light measurements determine the total light emitted by galaxies*

# ***Problem with Absolute Photometry: Foregrounds!***

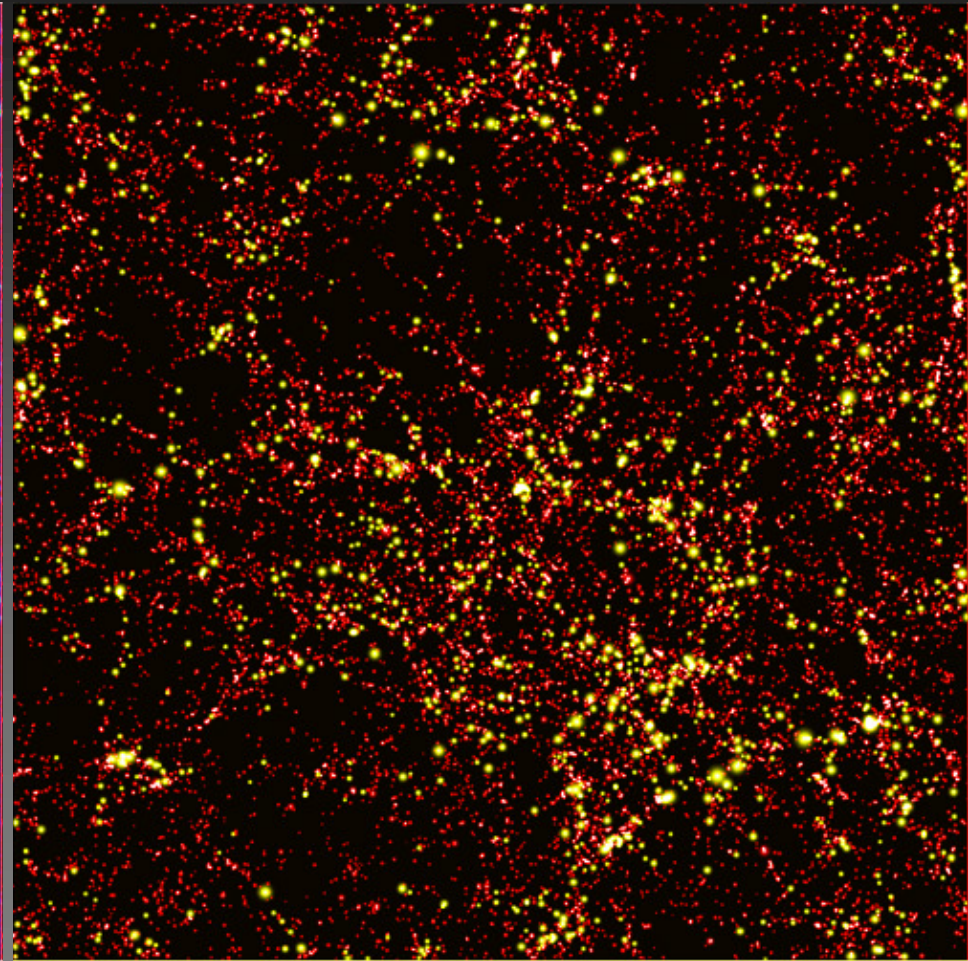


# Relating Galaxies to Dark Matter

Dark Matter from Numerical Simulation ( $z = 2$ )



Dark Matter Clumps Color-Coded by Mass



On large scales: Light traces dark matter → Use to measure light production

# Large Scale Structure

*Herschel-SPIRE* measurements at far-infrared wavelengths



← 3.6° →

# Near-Infrared Clustering Measurements

Both measurements show large clustering signal

- Exceeds light produced by known galaxy populations

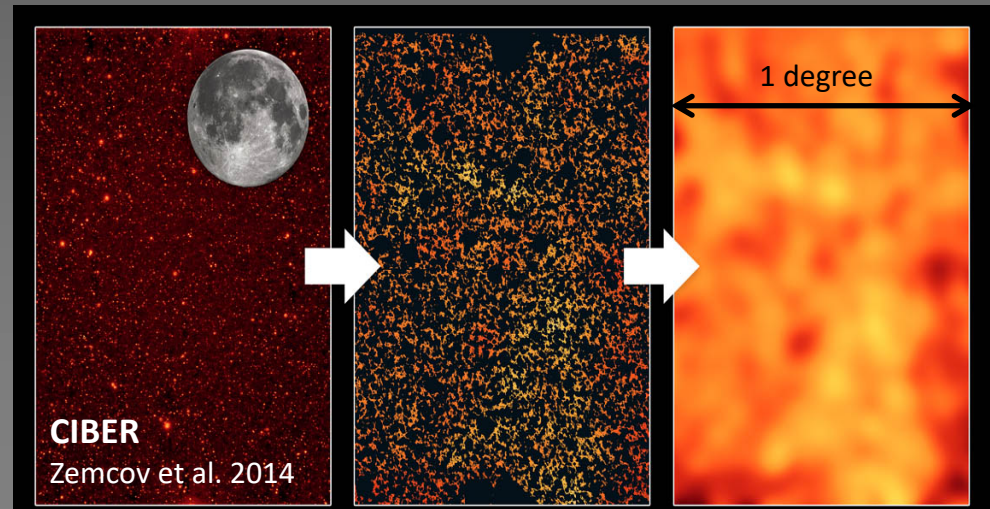
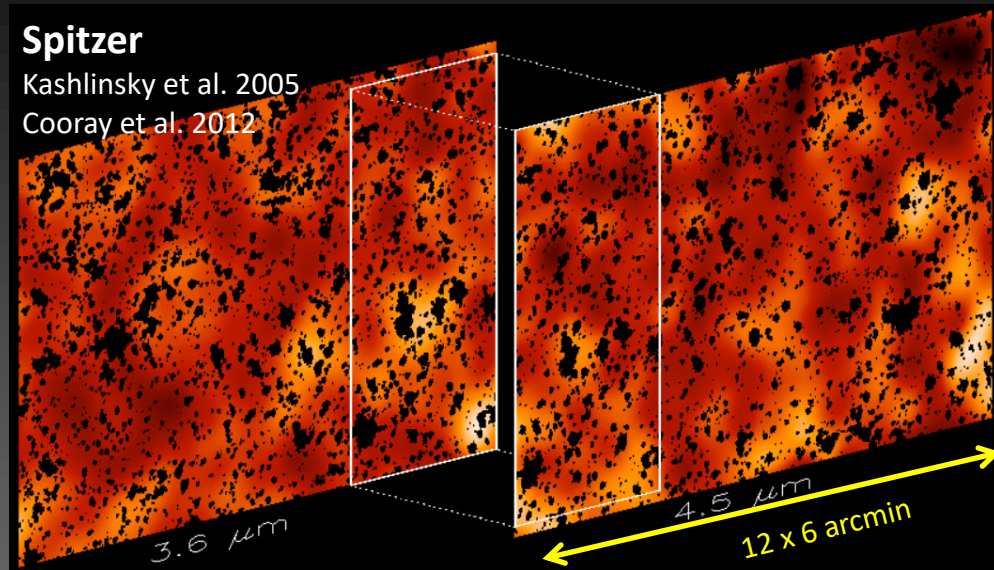


First stars (redshifts  $> 6$ )?



Intra halo light (redshifts  $0 - 2$ )?

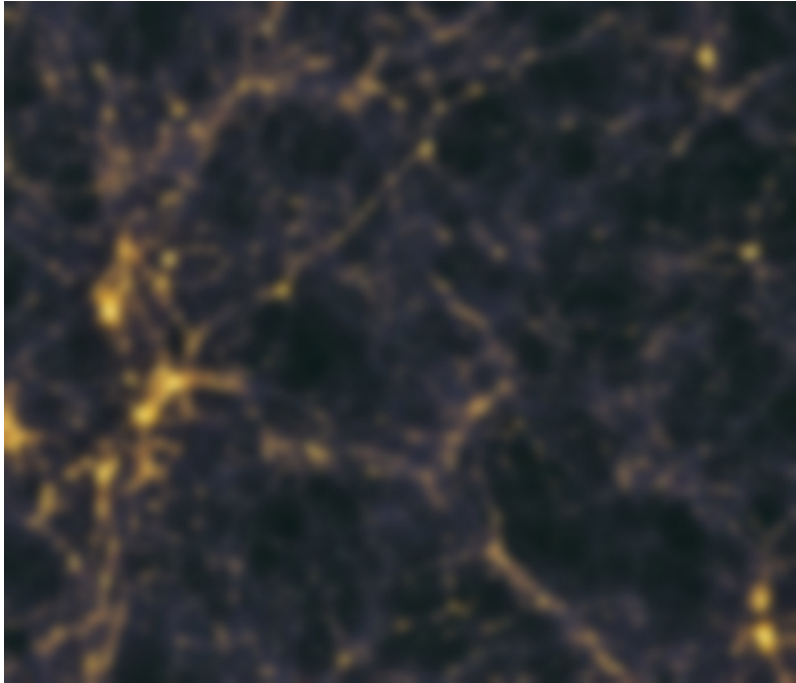
**SPHEREx: multi-wavelength measurements!**



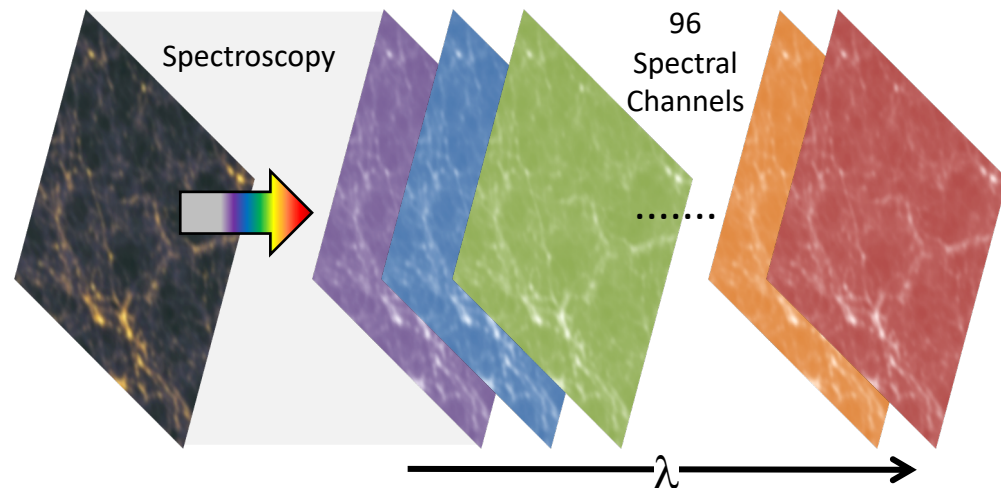


## Intensity mapping measures light emitted by *everything that gravitationally clusters*

- Traces faint light associated with dark matter
  - Emission from all galaxies
  - Dwarf galaxies responsible for reionization
  - Diffuse emission from stripped stars
  - Dark matter decay (?)
- Complements galaxy-by-galaxy surveys
- Method used on CIBER, Spitzer, Herschel, Planck



## Spectroscopy is key for untangling cosmic history



### **Q3: What Are the Conditions for Life Outside the Solar System?**



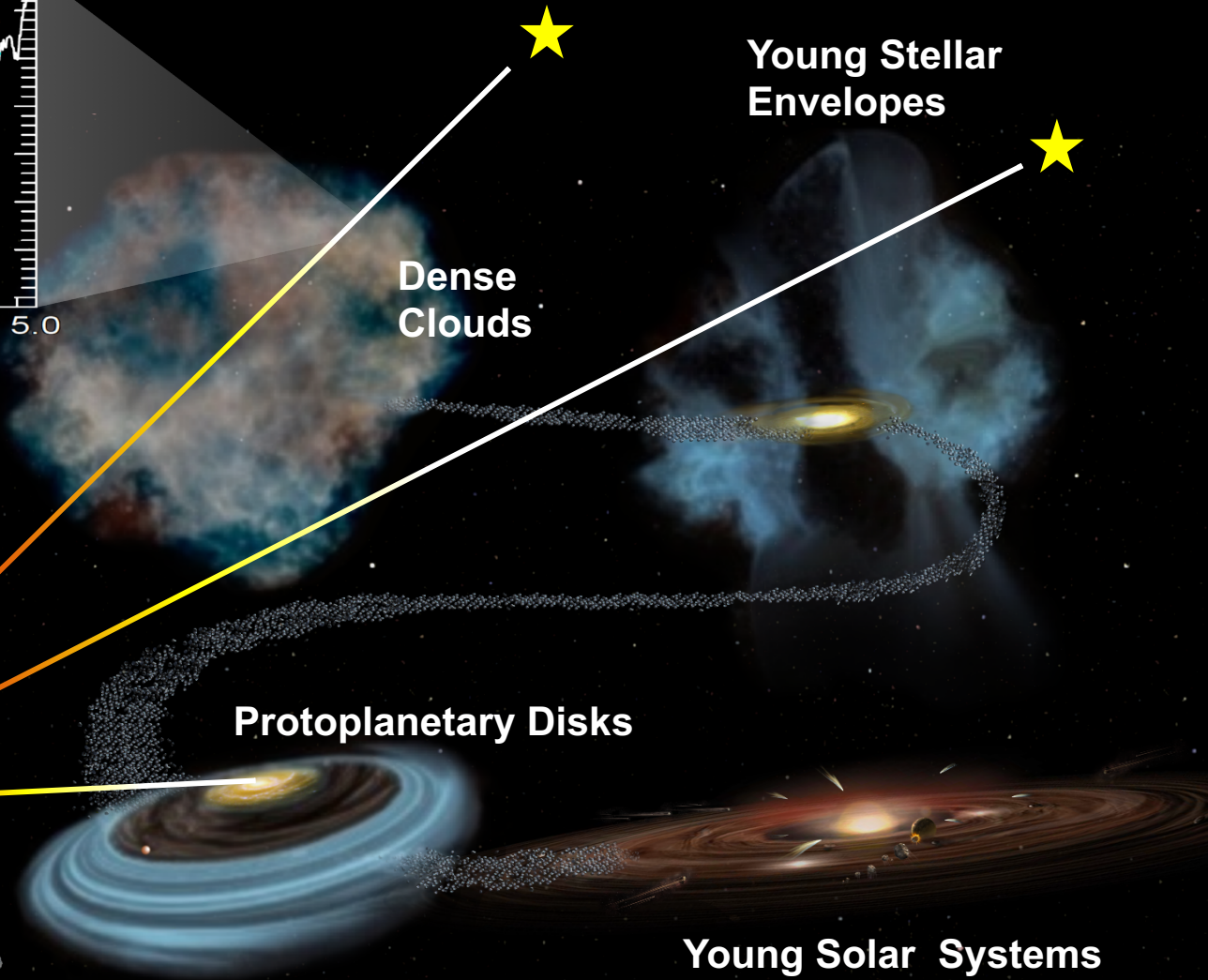
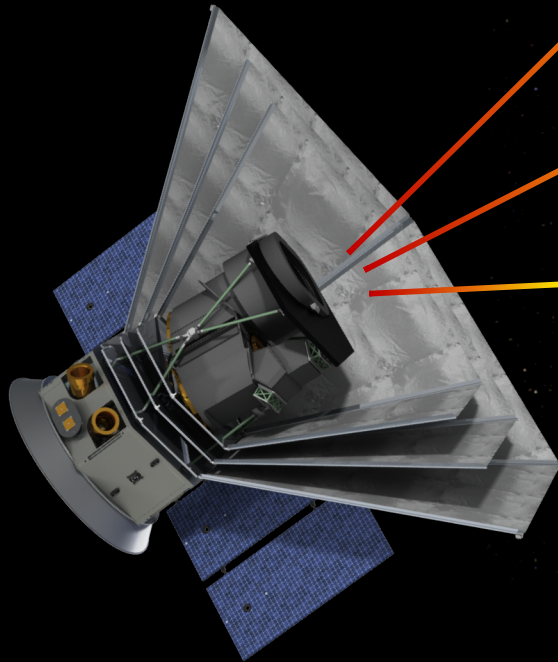
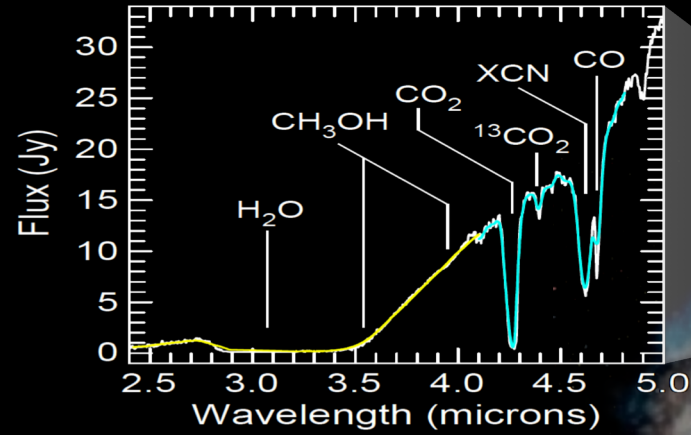
Sourced by interstellar ices, rich in biogenic molecules:  $\text{H}_2\text{O}$ ,  $\text{CO}$ ,  $\text{CO}_2$ ,  $\text{CH}_3\text{OH}$ ...

Current debate: did earth's water come from the Oort cloud, Kuiper belt or closer?

Did water arrive from asteroids or comets?

*SPHEREx will measure the  $\text{H}_2\text{O}$ ,  $\text{CO}$ ,  $\text{CO}_2$ ,  $\text{CH}_3\text{OH}$  ice content in clouds and disks, determining how ices are inherited from parent clouds vs. processed in disks*

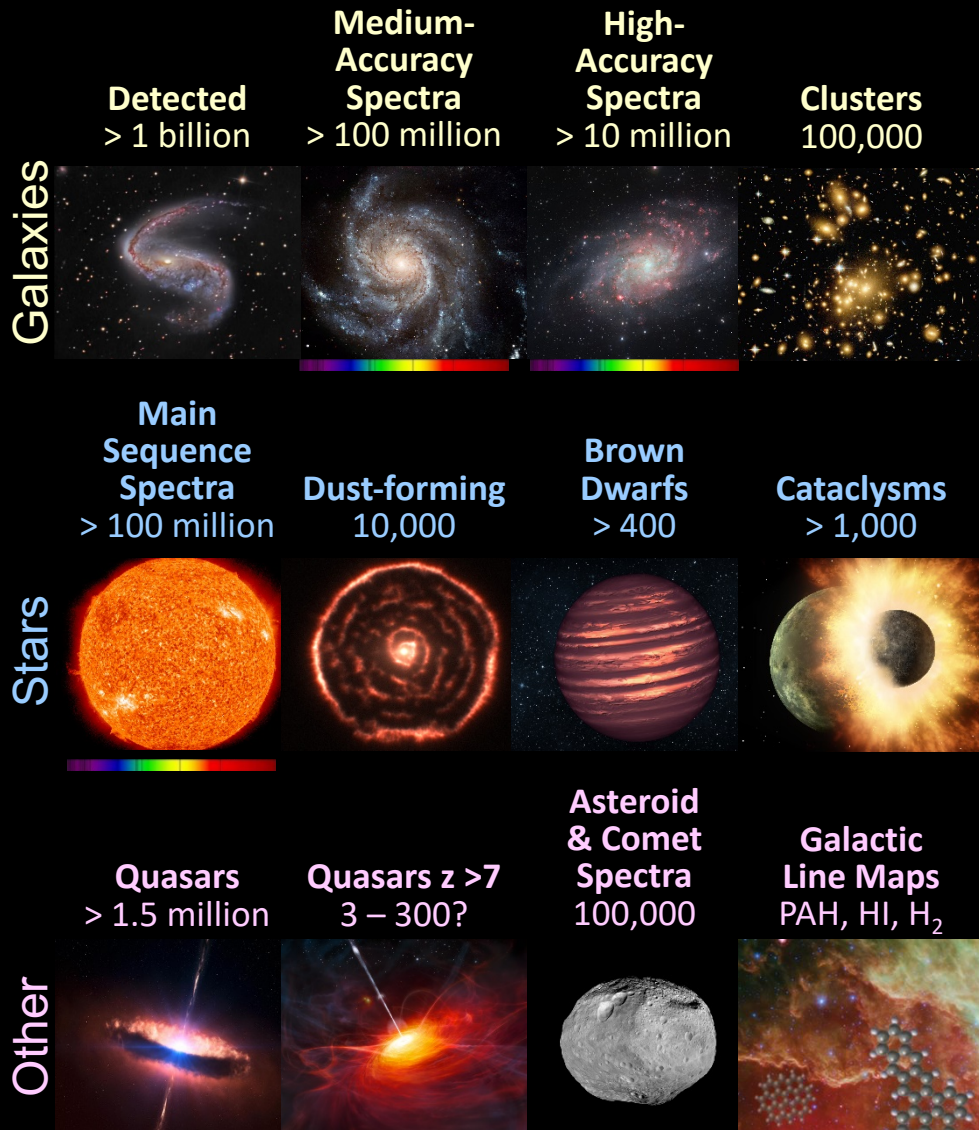
# SPHEREx Surveys Ices in All Phases of Star Formation



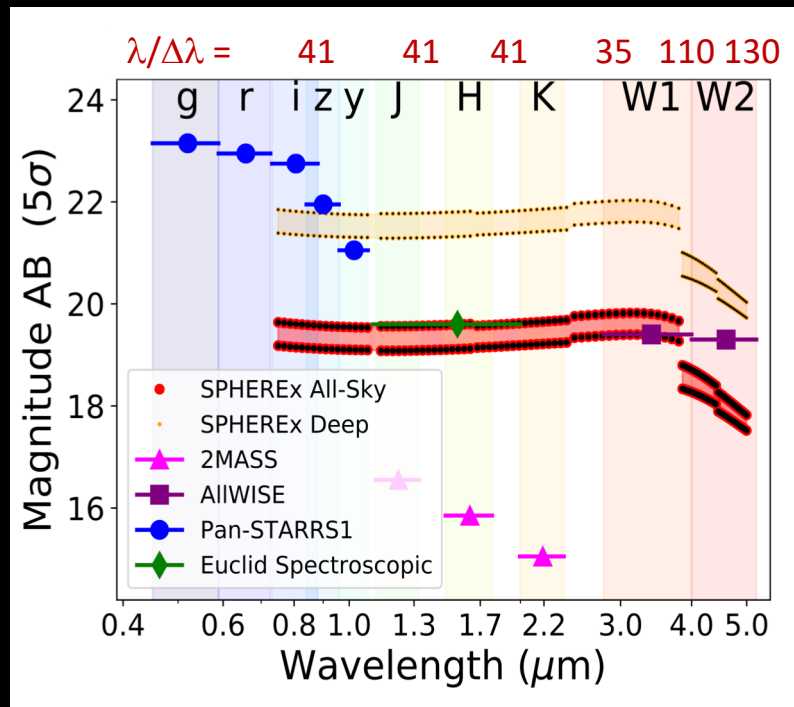
*SPHEREx will measure ice abundances toward ~1 million sources and determine how water and biogenic ices evolve from molecular clouds to young stars to proto-planetary disks*

# SPHEREx Creates an All-Sky Legacy Archive

*A spectrum for every 6" pixel on the sky*



## SPHEREx Survey Depth



# What Would You Do with the Archive?



**2018 Workshop at CfA**  
Synergies with NASA Missions



**2020 Workshop at CCA**  
Collaborative Opportunities

## 2016 Workshop: Survey Science Ideas

Object	# Sources	Legacy Science
X-ray all-sky survey	> 100,000	Detect eROSITA source SEDs and spectroscopic redshifts
Exoplanet characterization	10,000 of 600,000	With GAIA, determine precise radii for host stars
Deuterated PAHs		Probe and possible map deuterated PAHs, complete inventory of D in local ISM
Lowest metallicity stars	~1000	Identify low-mass stars by their IR signatures, map distribution in Galaxy
Asteroids and comets	100,000	Spectrally classify numerous asteroids; CO/CO <sub>2</sub> ratios in comets
Nearby galaxies	>100 million	Spectrally image galaxies to trace stellar populations, star formation, etc
<b>Your idea here!</b>	<b>TBD</b>	

**Three workshops:** Over 120 non-SPHEREx scientists  
**Charter:** Identify new science, tools and data products

Two examples of new science from the workshops



Exoplanet masses

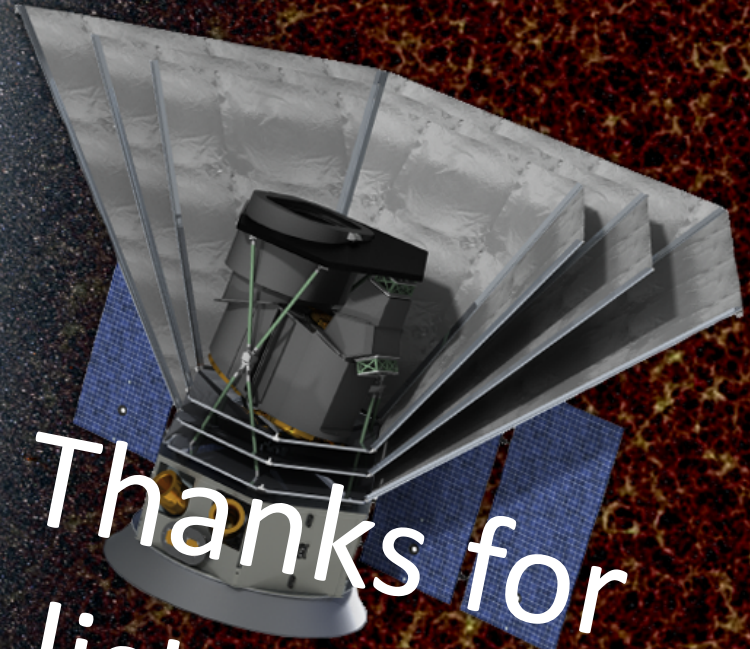
X-ray synergies

SPHEREx science: Doré et al. 2014

SPHEREx workshops: Doré et al. 2016 & 2018

# SPHEREx Science Team

Jamie Bock	Caltech/JPL	Principal Investigator
Rachel Akeson	IPAC	Stellar catalog
Matt Ashby	CfA	Interstellar ices
Lindsey Bleem	Argonne	Galaxy cluster catalog
Peter Capak	IPAC	Galaxy redshifts
Tzu-Ching Chang	JPL	Intensity mapping
Asantha Cooray	UC Irvine	Extragalactic backgrounds
Brendan Crill	JPL	Pipeline architect
Roland de Putter	Caltech	Cosmology
Olivier Doré	JPL	Project Scientist
Tim Eifler	U. Arizona	LSST/DESI synergies
Salman Habib	Argonne	Cosmology simulations
Katrin Heitmann	Argonne	Cosmology simulations
Chris Hirata	Ohio State	Cosmology
Woong-Seob Jeong	KASI	KASI PI
Davy Kirkpatrick	IPAC	Brown dwarfs
Phil Korngut	Caltech	Instrument Scientist
Elisabeth Krause	U. Arizona	Cosmology
Carey Lisse	JHU	Solar system catalog
Daniel Masters	Caltech	Spectral redshift fitting
Phil Mauskopf	Arizona State	Survey planning
Gary Melnick	CfA	Interstellar ices
Hien Nguyen	JPL	Instrumentation
Karin Öberg	CfA	Ice properties
Roger Smith	Caltech	Detector arrays
Yong-Seon Song	KASI	Cosmology
Harry Teplitz	IPAC/Caltech	Data Archive
Volker Toll	CfA	Ices pipeline
Steve Unwin	JPL	Interstellar ices
Mike Werner	JPL	Legacy science
Rogier Windhorst	Arizona State	JWST synergies
Yujin Yang	KASI	Survey science
Mike Zemcov	RIT	Data pipeline



Thanks for  
listening!

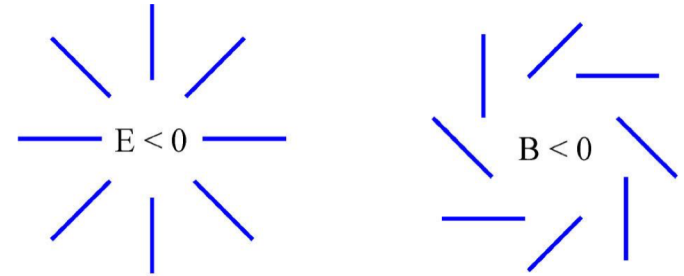
# Backup

# BICEP-Keck Constraints on Inflationary Gravitational Waves

$r$  = tensor to scalar ratio, i.e. amplitude of inflationary gravitational-wave background



**February 2020: BICEP Array first light!**



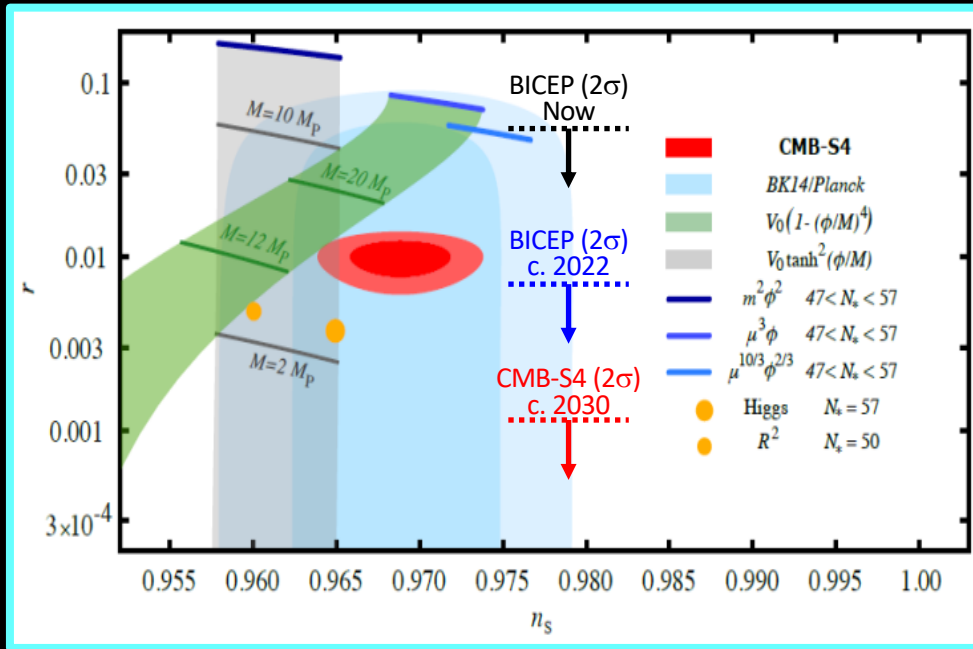
*All published polarization constraints on Inflationary Gravitational Waves*

Experiment	Year	Bands [GHz]	$\sigma(r)$
DASI	2004	26...36	7.5
BICEP1 2yr	2009	100, 150	0.28
WMAP 7yr	2010	30...60	1.1
QUIET-Q	2010	43	0.97
QUIET-W	2012	95	0.85
BICEP1 3yr	2013	100, 150	0.25
BICEP2	2014	150	0.10
BK + Planck	2015	150 + Planck	0.034
BK14	2015	95, 150 + P	0.024
ABS	2018	150	0.7
Planck	2018	30...353	$\sim 0.2$
BK15	2018	95,150,220 + P	0.020
Polarbear	2019	150 + Planck	0.32
<b>BK18</b>	<b>2020</b>	<b>95,150,220 + P</b>	<b>0.010 (est)</b>



# Non-Gaussianity and B-Modes are Complementary

Single-field models  
from CMB-S4 Science paper

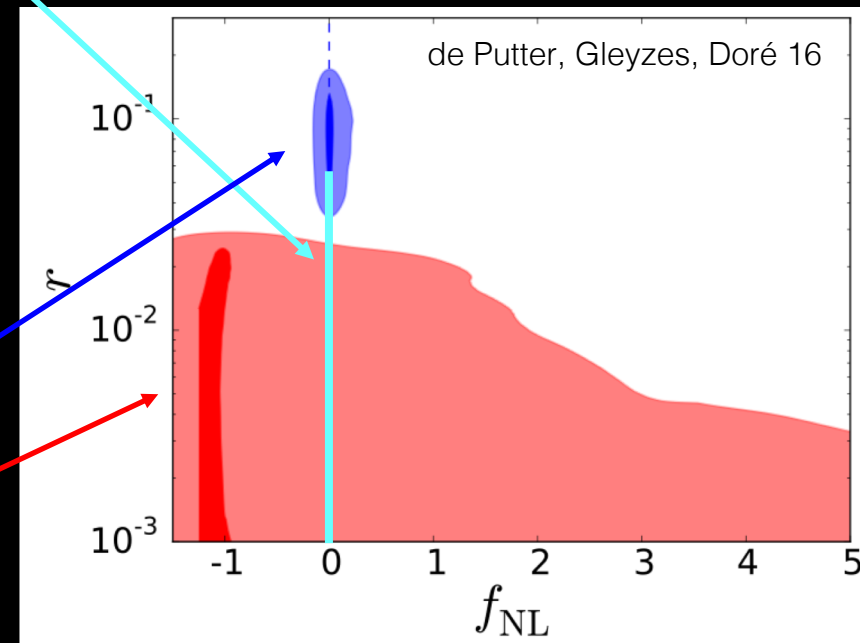


Primordial non-Gaussianity  
discriminates between models

Single-field inflation  $\rightarrow f_{NL} \ll 1$

Multi-field inflation  $\rightarrow f_{NL} \gtrsim 1$

Cyclic models  $\rightarrow f_{NL} \sim 1$  (Steinhardt, Ijjas)

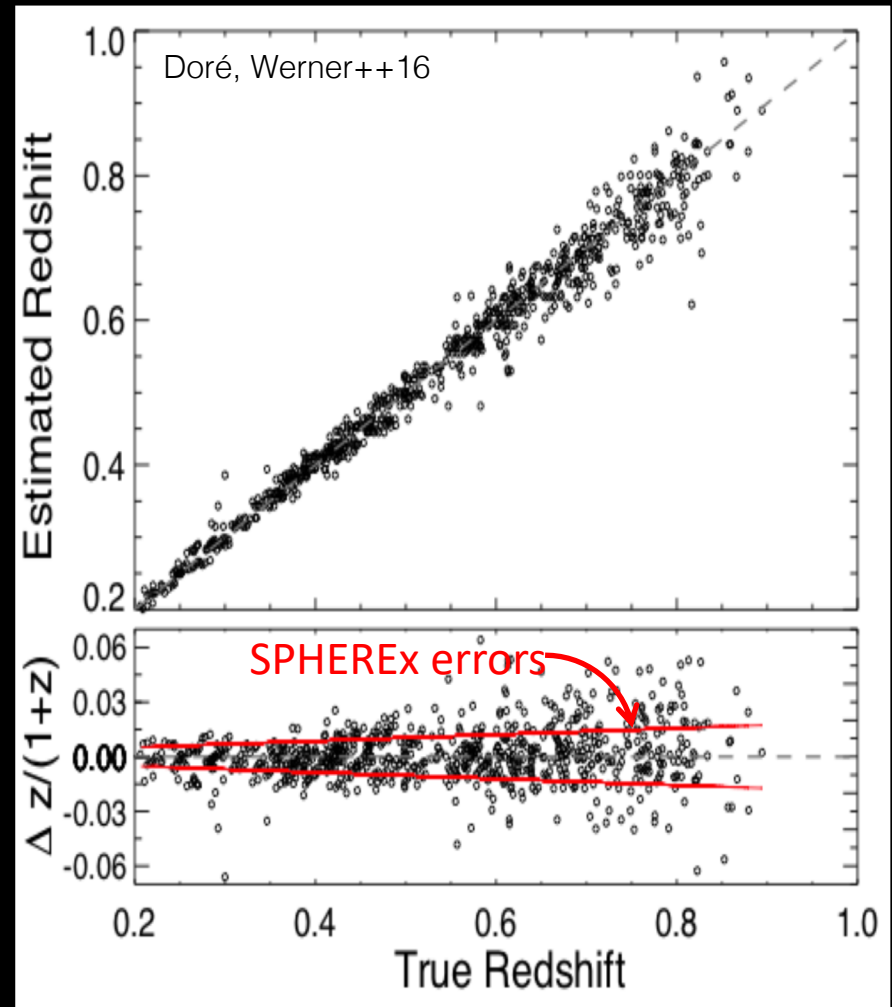


## Multi-field models

- Multi-field with primordial perturbations generated by inflaton
- Primordial perturbations generated by second field (i.e. spectator-dominated models)

- Galaxy x CMB lensing
  - SPHEREx gives galaxy bias
  - Measures the growth of structure  $D_G$  over a wide redshift range
    - Probes gravity on large scales
    - Constrains the amplitude  $A_{\text{lens}}$
- Baryonic Kinetic SZ Effect
  - SNR  $\sim 55$  using precision redshift sample and CMB map
  - SNR  $> 100$  cross-correlating lower-accuracy sample and  $T^2$ 
    - e.g. Doré *et al.* 2003
- Galaxy Cluster Redshifts
  - CMB-S4 and eROSITA will find 100,000+ massive clusters
  - SPHEREx will also find  $\sim 100,000$
  - SPHEREx provides redshifts
    - $\sigma_z / (1+z) < 0.03$  for  $z < 0.9$
    - *Virial masses for large samples*

SPHEREx Cluster Redshift Errors\*

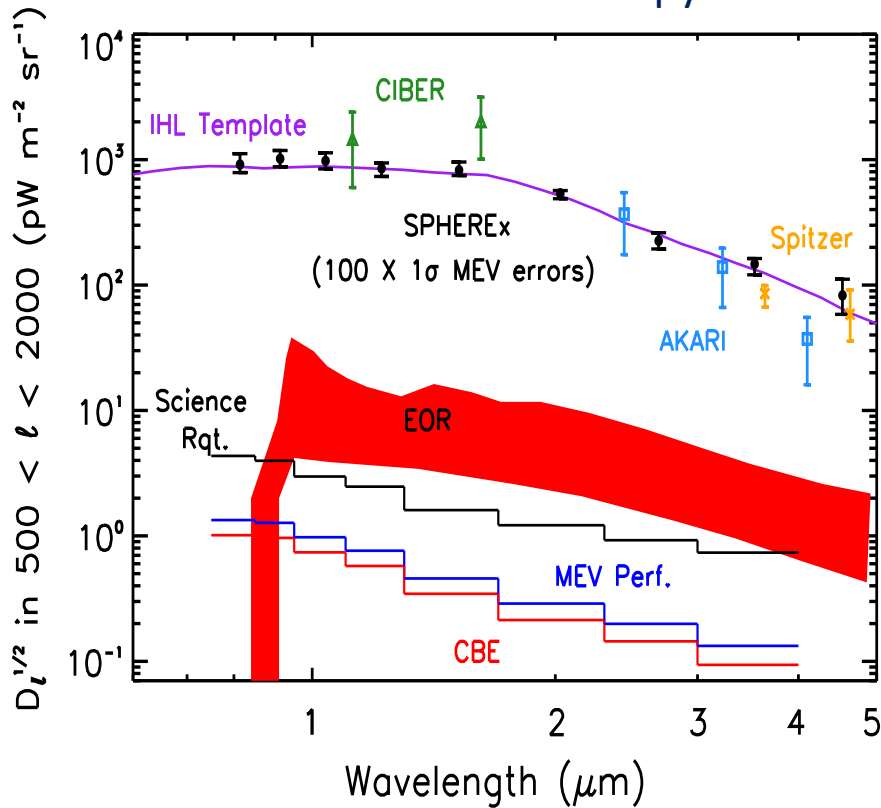


\*Simulated by Lindsey Bleem, ANL

# SPHEREx Measures Large-Scale Fluctuations

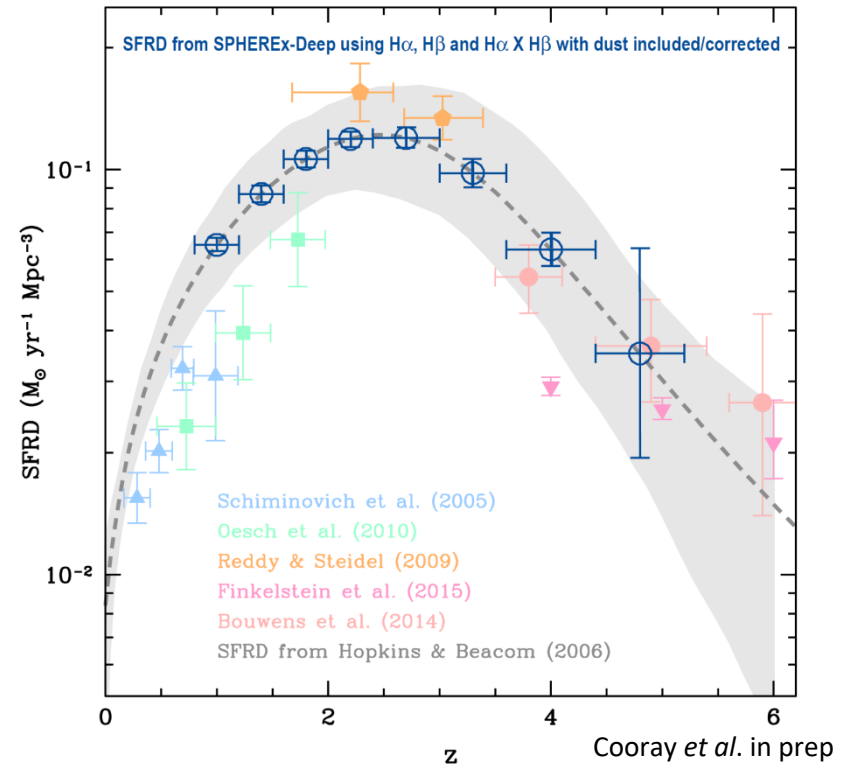


## Continuum Anisotropy

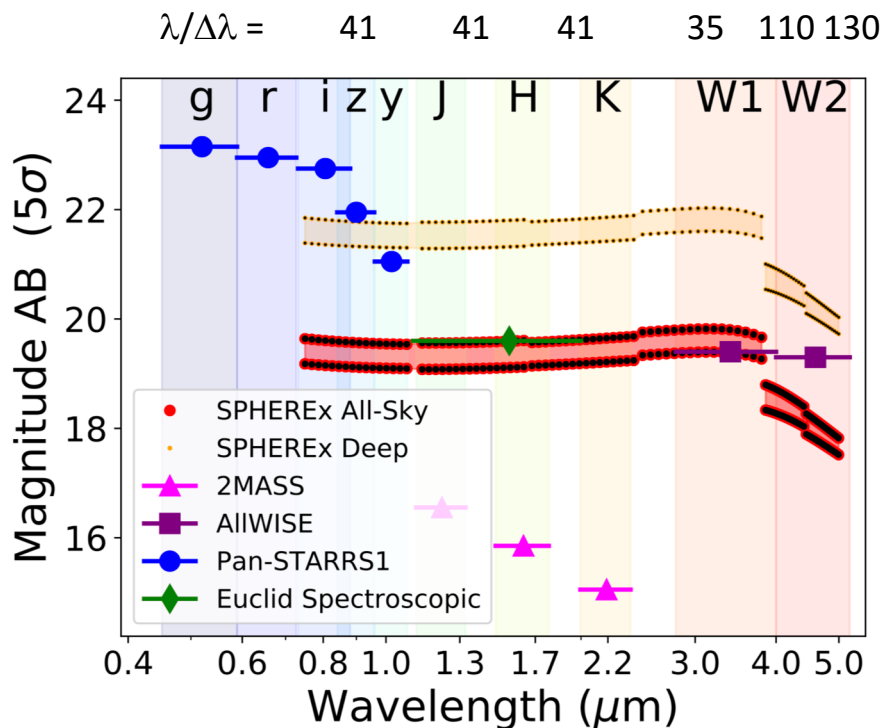


- SPHEREx has ideal wavelength coverage and high sensitivity
- Multiple bands enable correlation tests sensitive to redshift history
- Method demonstrated on Spitzer & CIBER

## Line Tomography



- Amplitude gives line light production
- Multiple lines trace star formation history
  - High S/N in H $\alpha$  for  $z < 5$ ; OIII and H $\beta$  for  $z < 3$
  - Ly $\alpha$  probes EoR models for  $z > 6$
  - H $\alpha$  and Ly $\alpha$  crossover region  $5 < z < 6$
- Example above uses H $\alpha$  + H $\beta$  to solve for SFRD and dust attenuation simultaneously



## Notable Features of the SPHEREx All-Sky Survey

- High S/N spectrum for every 2MASS source
- Solid detection of faintest WISE sources
- Catalogs ideal for JWST observations

**Users have access to data exploration, analysis, and visualization tools**

- On-the-fly Mosaics
- Photometry on Known Position
- Spectral Data Cube Extractor
- Variable Source Extractor
- Source Discovery

**Plus: Legacy Catalogs**

- Exoplanet Target Stars
- Solar System Objects
- Galaxy Clusters

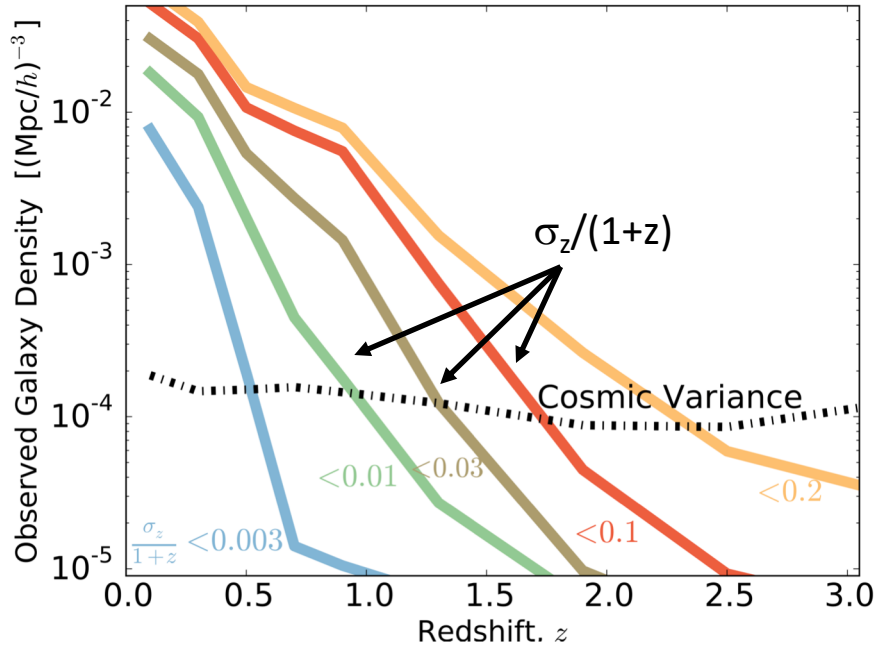
## Estimated source numbers

Detected galaxies	> 1 billion
Galaxy spectra $\Delta z/1+z < 10\%$	> 450 million
Galaxy spectra $\Delta z/1+z < 0.3\%$	> 10 million
Quasar spectra	> 1.5 million
Main sequence stars	> 100 million
Exoplanet target stars	> 600,000
Low-mass stars (LTY)	> 400
X-ray counterparts	> 100,000
Clusters	> 100,000
Asteroids & comets	> 100,000

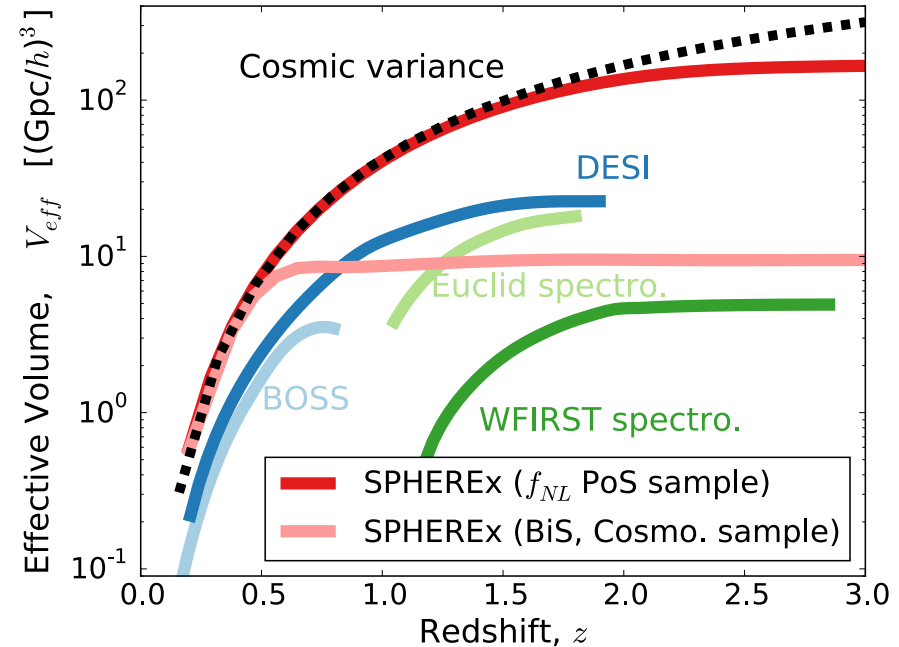
# SPHEREx Large Volume Galaxy Survey



## Catalog Split into Redshift Accuracy Bins



## SPHEREx Surveys Maximum Cosmic Volume



## SPHEREx Large-Volume Redshift Catalog

- Largest effective volume of any survey, near cosmic limit
- Excels at  $z < 1$ , complements dark energy missions (Euclid, WFIRST) targeting  $z \sim 2$
- SPHEREx + Euclid measures gravitational lensing and calibrates Euclid photo-zs

## Survey Designed for Two Tests of Non-Gaussianity

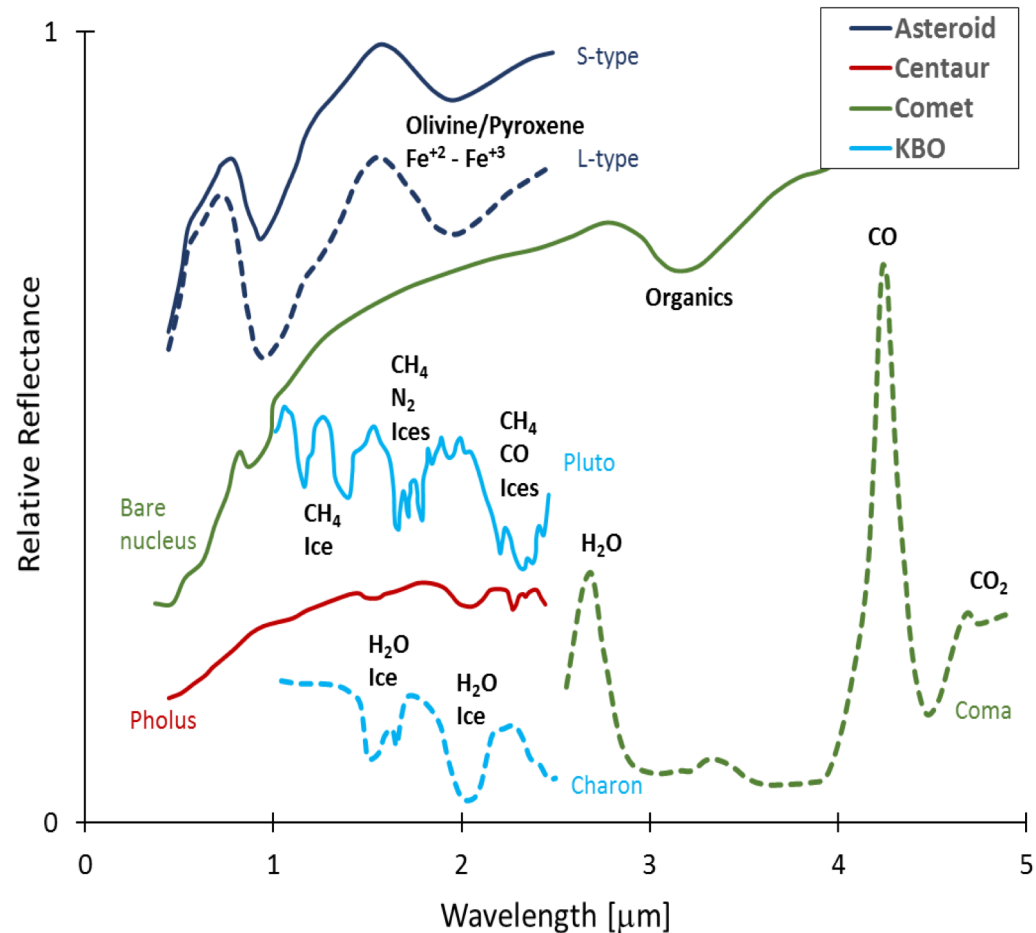
- Large scale power from **power spectrum**: large # of low-accuracy redshifts
- Modulation of fine-scale power from **bispectrum**: fewer high-accuracy redshifts

SPHEREx spectrally surveys solar system objects over the full sky  $6 < K_{AB} < 19$ :

- 100,000 asteroids
- 100s of comets and Centaurs
- 1000s of Jovian Trojans
- 10s of the largest KBOs

## Selected exciting investigations:

- Distinguish the size, albedo, and composition of the **Main Belt Asteroids** – necessary for future resource utilization, hazard mitigation, supporting the NASA *OSIRIS-Rex* and *PSYCHE* missions.
- Determine the chemical abundances of **Comets**, the most pristine leftovers from the era of formation of our solar system
- Determine the composition of the **Trojan Asteroids** in support of the NASA *LUCY* mission, and help determine if they are captured KBOs
- Obtain bi-yearly measurements of **Outer Moons & KBOs**, allowing us to search for changes in their surfaces & atmospheres



# Space Observations Key to Controlling Systematic Errors



Systematic	Mitigation/Control	Amplitude	Conversion to $\delta n/n$	Technique Reference	Coherent on large scales?	$\delta n/n\%$ rms/dex
Galactic extinction	Observe in infrared, template deprojection	0.003 mag rms before mitigation	1.4 /mag	e.g., Pullen & Hirata (2013)	Yes	0.064
Noise selection non-uniformity	Inject simulated objects into real data; template deprojection	0.2 mag rms before mitigation	0.017/mag	Suchyta et al. (2016), Huff et al. (2014)	Yes	0.017
Noise redshift non-uniformity			0.062/mag			0.06
Non-uniformity in external catalogs	Above + select from SPHEREx data, cross-calibrate, flux cut selection	NA	NA	All of the above	Yes	0.1
Source blending in redshift estimates	Control effective PSF FWHM; mask blended sources in Pan-STARRS/DES/WISE catalog	NA	NA	Laidler et al. (2007), Lang et al. (2016)	No	Counted in end-to-end simulations
Source blending in clustering measurement	Small-scale correction using forward modeling and mock catalogs	Within cosmic variance	NA	Hahn et al. (2017)	No	Negligible
Detector hysteresis	Mask pixels based on photometric history	$\sim 0.13\%$ pixels lost per exposure	NA	Smith et al. (2008), Rauscher et al. (2014)	No	Negligible
Cosmic rays	Flag contaminated pixels; do not observe in SAA	$\sim 0.22\%$ pixels lost per exposure	NA	Zemcov et al. (2016)	No	Negligible
Absolute calibration	Calibrate on spectral standards and flat-field; overlapping bands	Control $<3\%$ to meet $<6\%$ science req't	$0.32\% \times$ (cal. err.) <sup>1/2</sup>		Yes	0.056
Channel-to channel calibration	Calibrate on spectral standards and flat-field	Control $<2\%$ bin-bin to meet $<6\%$ science req't	$0.19\% \times$ (cal. err.) <sup>1/2</sup>	Fixsen et al. (2000)	Yes	0.027
Calibration stability	Monitor calibration on orbital timescales using deep fields	$<1\%$ drift over 4 surveys	$5\% \times$ drift	Cutri et al. (2018)	Yes	0.05
PSF and astrometry knowledge	Centroid and sub-pixel stack on 2MASS stars	$<0.5\%$ photometric error	NA	Bock et al. (2013)	No	Counted in calibration budget and simulations

## SPHEREx Systematic Error Control Strategy:

**Infrared Observations from Space** mitigate large-scale errors from atmosphere and Galactic extinction

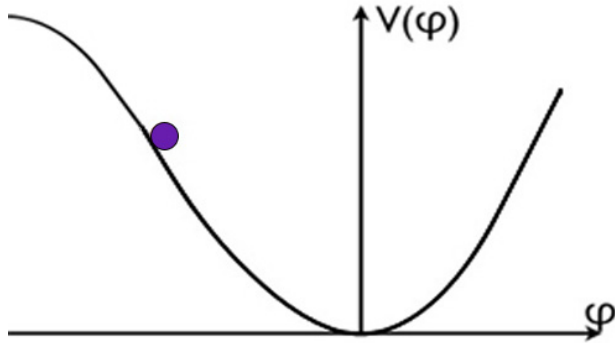
**Stable In-Flight Calibration** controls gain and PSF/astrometry errors on orbital to annual timescales

**Catalog-Driven Galaxy Selection** mitigates galaxy confusion – just discard known blends

**Redshift Validation** use known spec-zs to test redshift errors, split by type, location, etc

*A test to distinguish between single- and multi-field Inflation*

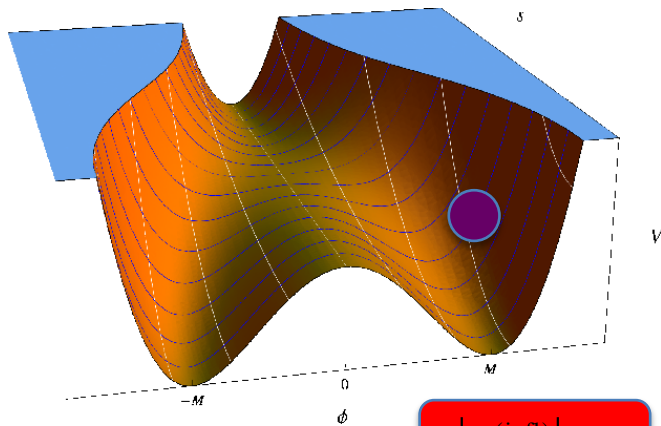
## Single-Field Inflation:



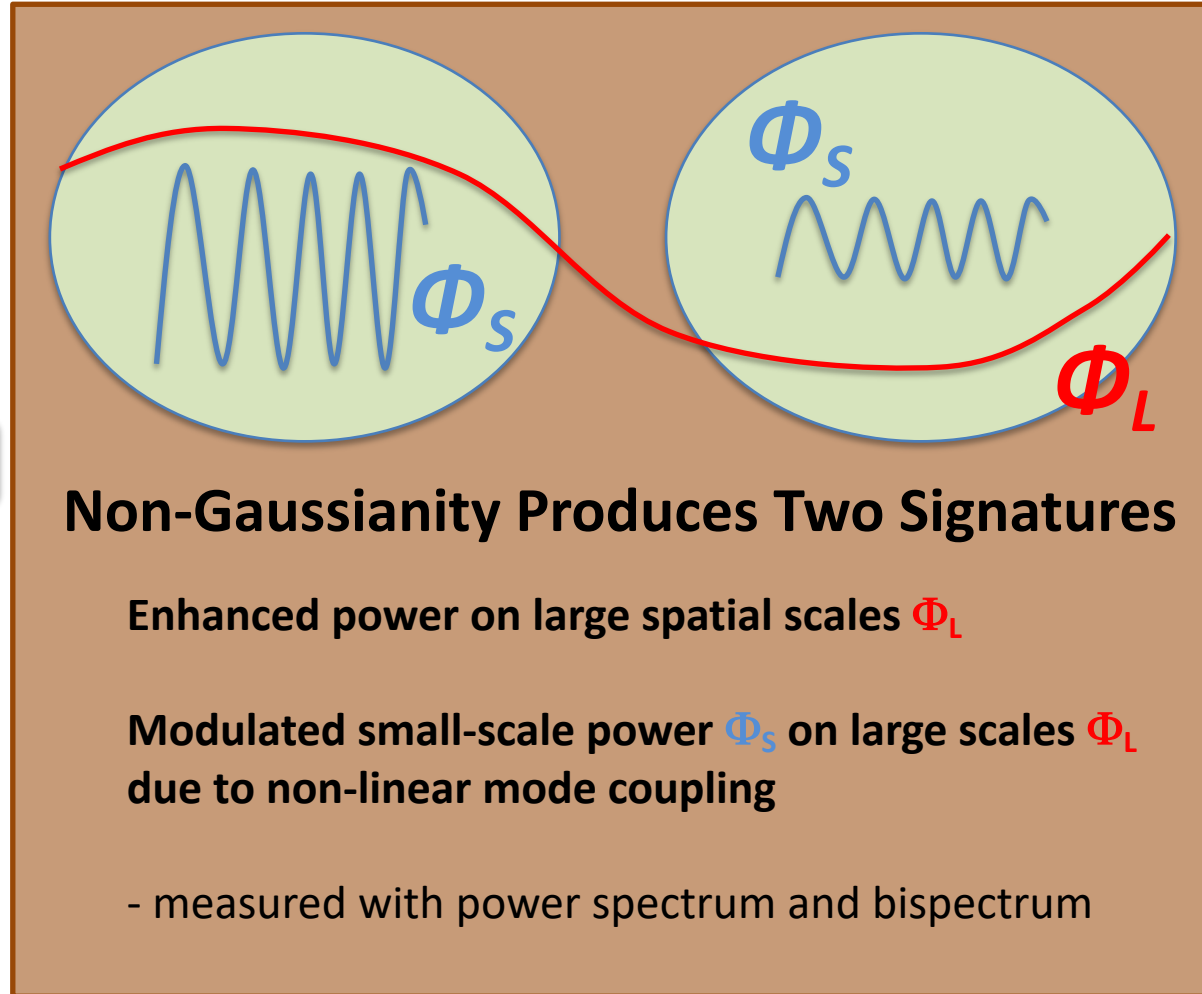
*Squeezed limit consistency condition by Maldacena (2003):*

$$f_{NL}^{(\text{infl})} \sim (n_s - 1) \ll 1$$

## Multi-Field Inflation:



$$\left| f_{NL}^{(\text{infl})} \right| \gtrsim 1$$



The diagram shows two green ovals representing different scales. The left oval contains a blue wavy line labeled  $\Phi_S$  and a red curved line above it. The right oval contains a blue wavy line labeled  $\Phi_S$  and a red curved line below it. A red curved line labeled  $\Phi_L$  connects the two ovals, representing large-scale modulation.

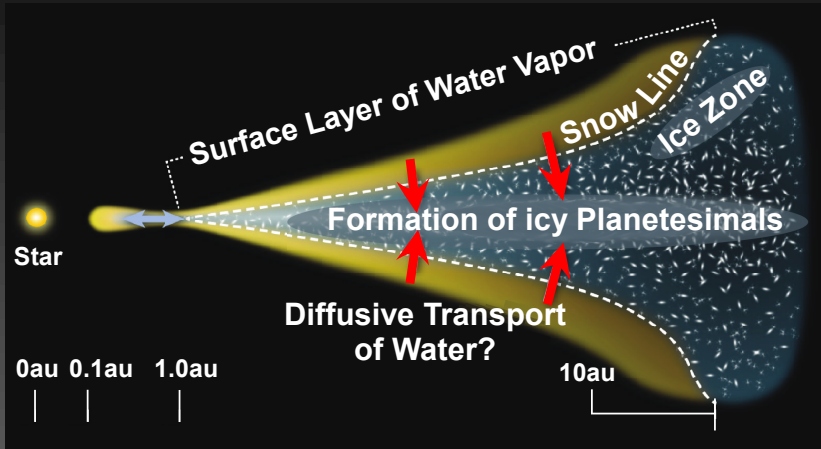
### Non-Gaussianity Produces Two Signatures

- Enhanced power on large spatial scales  $\Phi_L$
- Modulated small-scale power  $\Phi_S$  on large scales  $\Phi_L$  due to non-linear mode coupling
- measured with power spectrum and bispectrum



# SPHEREx Galactic Ice Survey

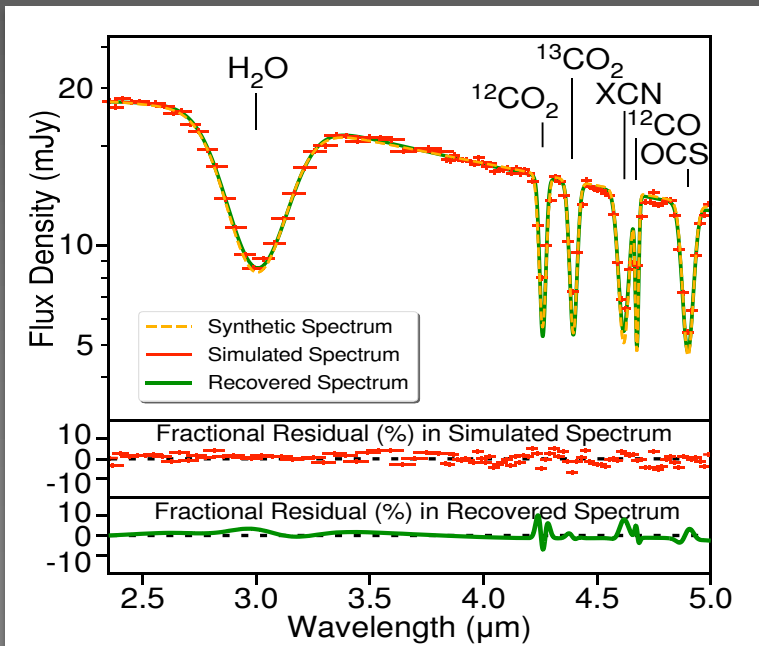
Schematic of a Protoplanetary Disk



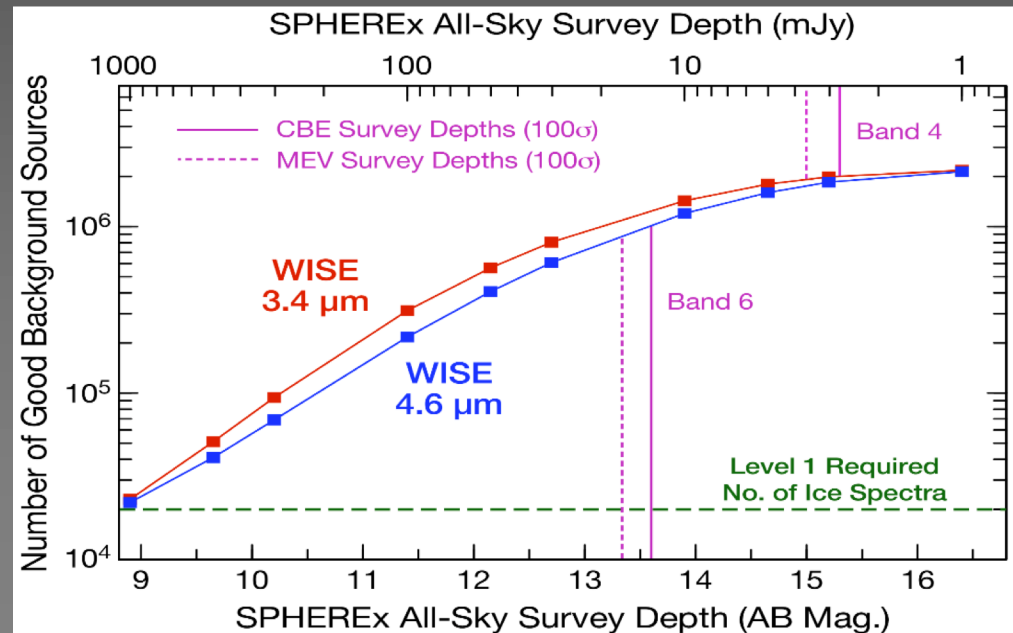
“High-quality ice source” means

- Embedded behind dust
- Bright (SNR > 100 per channel)
- Isolated

Estimate Errors on Absorption Depth



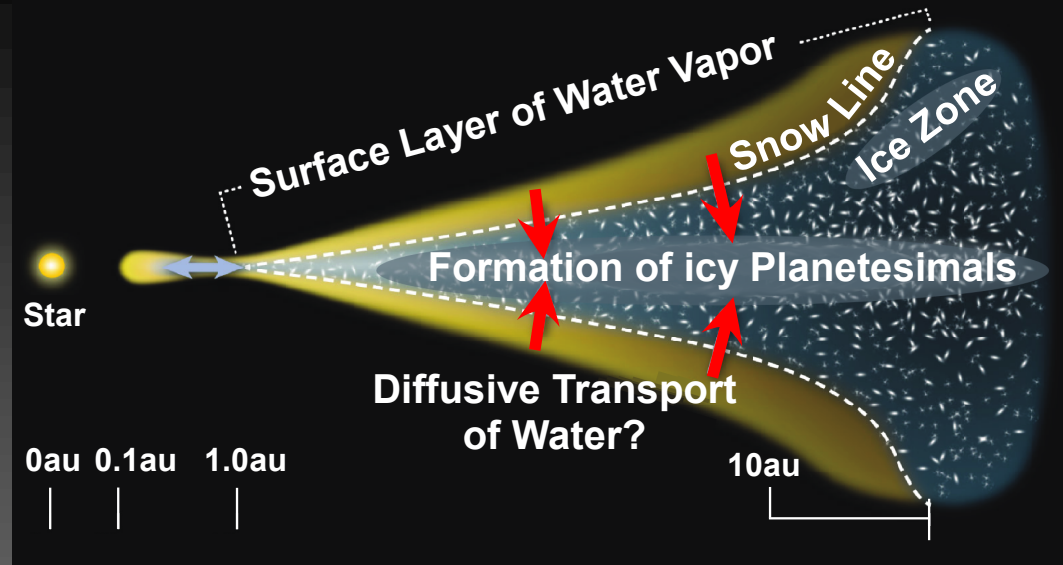
Expect ~1 Million High-Quality Ice Detections



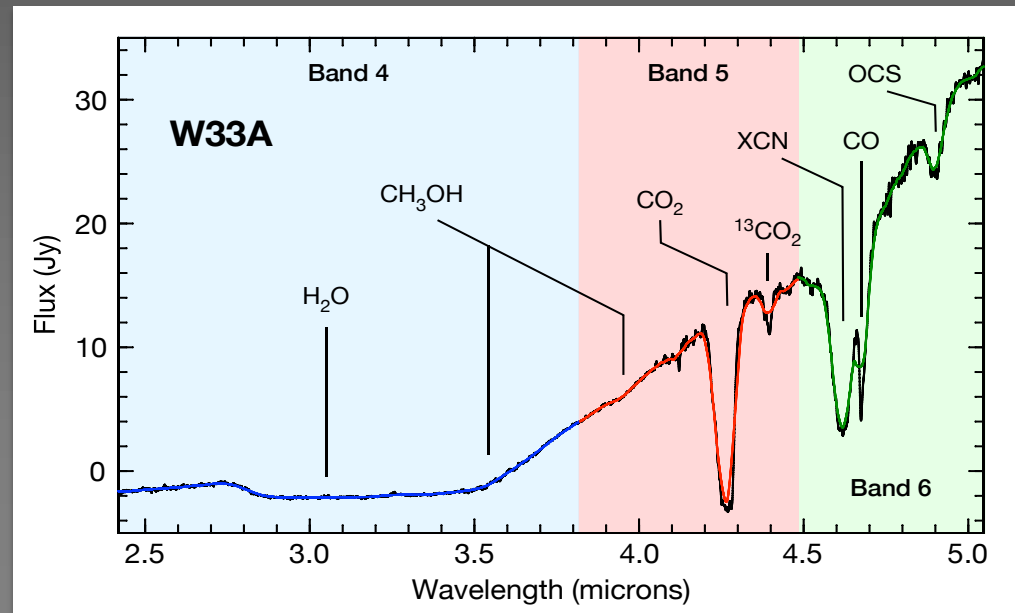
# Why Study Ices?

- Gas and dust in molecular clouds are the reservoirs for new stars and planets
  - In molecular clouds, water is 100-1000x more abundant in ice than in gas
  - Herschel observations of the TW Hydrae disk imply the presence of 1000s of Earth oceans in ice (Hogerheijde *et al.* 2011)
  - Models suggest water and biogenic molecules reside in ice in the disk mid-plane and beyond the snow line
- Ideal  $\lambda$ s to study ices: 2.5 - 5  $\mu$ m
  - Includes spectral features from H<sub>2</sub>O, CO and CO<sub>2</sub>
  - Plus chemically important minor constituents NH<sub>3</sub>, CH<sub>3</sub>OH, X-CN, and <sup>13</sup>CO<sub>2</sub>

Schematic of a protoplanetary disk



ISO absorption spectrum

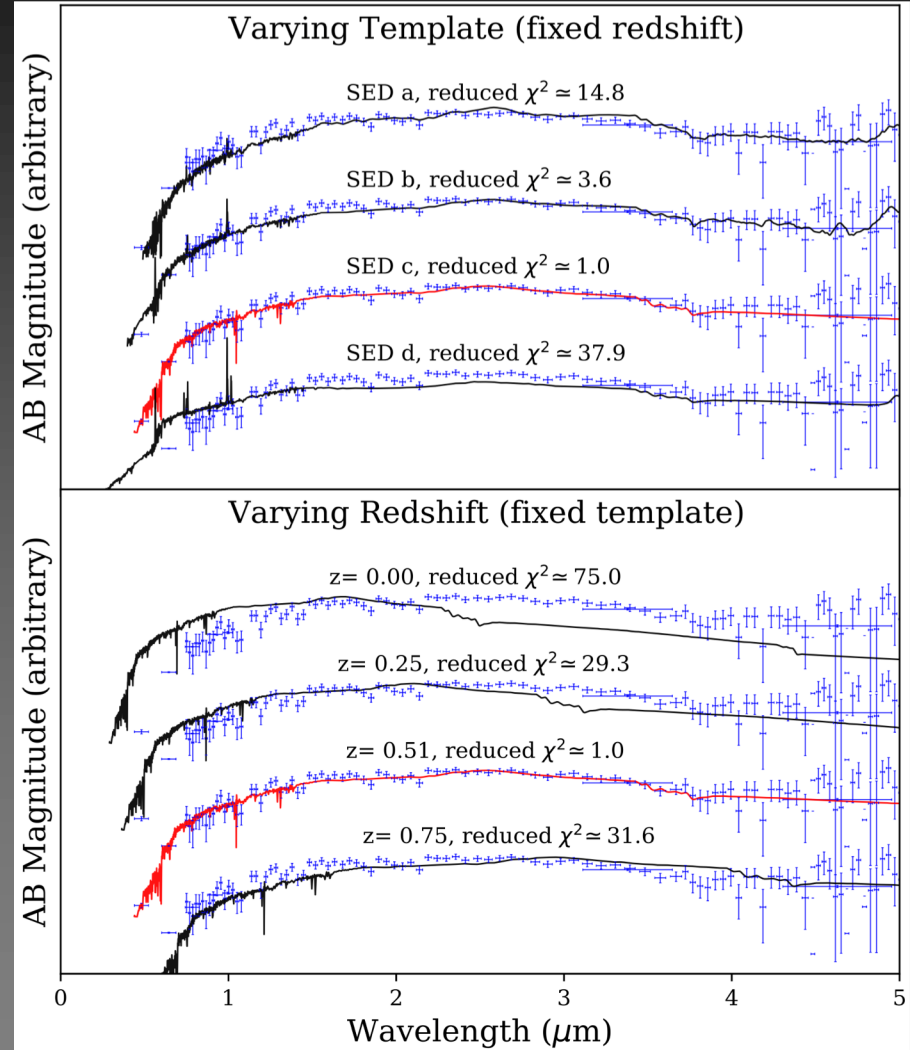


# Testing Redshift Reliability

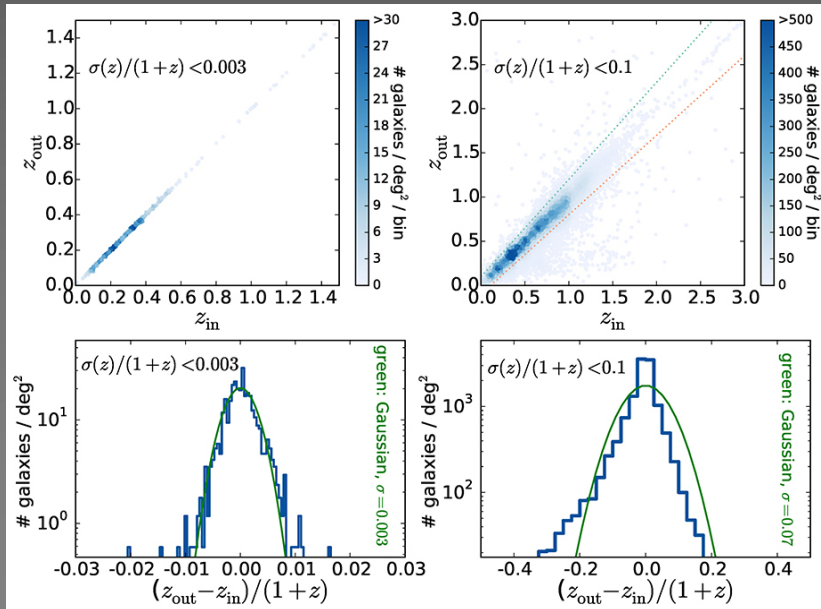
## Inject Real Galaxies into SPHEREx Pipeline



## Example Template and Redshift Fits



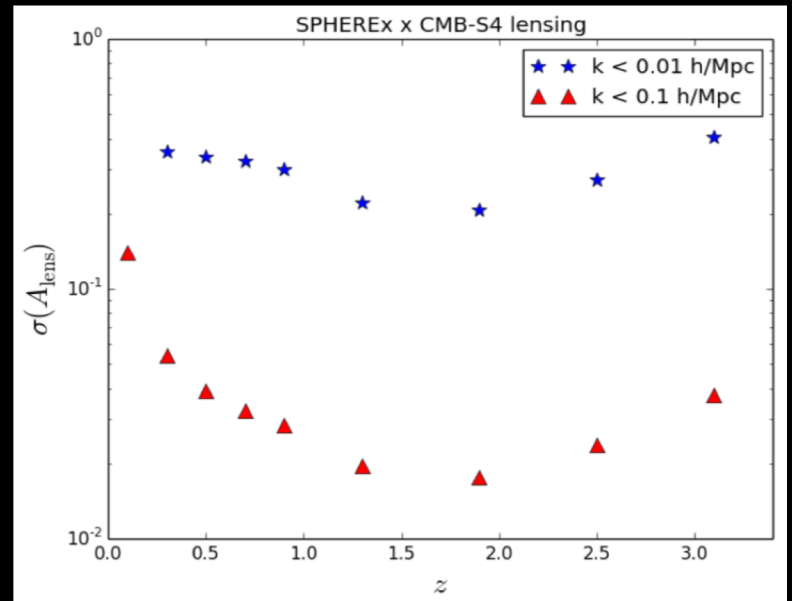
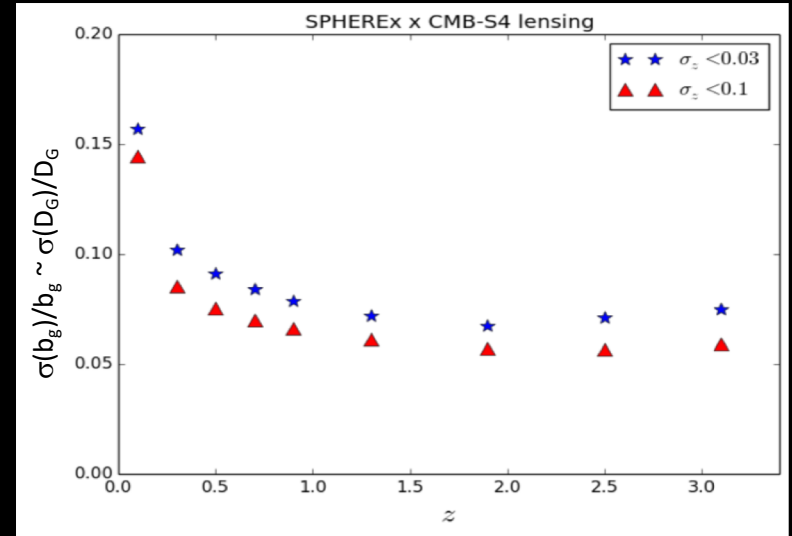
## Resulting Redshift Errors



# CMB-S4: CMB Lensing Cross-Correlation



- The SPHEREx galaxy clustering measurement covers the full sky and is cosmic variance limited on large scales
- SPHEREx determines galaxy bias  $b_g$  from the galaxy power spectrum analysis
- Given  $b_g$ , Galaxy x CMB lensing:
  - Measures the growth of structure  $D_G$  over a wide redshift range
  - Probes gravity on large scales
  - Constrains the amplitude  $A_{lens}$



- SPHEREx + CMB-S4 greatly increase our ability to measure the baryon component of the kinematic Sunyaev-Zel'dovich effect
- Using the velocity reconstruction method, and using only the two highest redshift accuracy ( $\sim 24.5$ M galaxies)
  - $S/N \sim 55$  assuming half the sky (CMB map noise of  $14 \mu\text{K-arcmin}$ )
- Using direct cross-correlation between  $T^2$  and  $\delta_{\text{gal}}$  (Doré++03, Hill++16, Ferraro++16) allows a statistical measurement with less stringent requirements on redshift errors:
  - $S/N > 100$  can be achieved by combining SPHEREx with future CMB experiments